

VineBalance 2023

Edited by Whitney Beaman

Editor's Note: Whitney Beaman

The goal of the VineBalance workbook is to codify a comprehensive manual of sustainable winegrowing best management practices for New York and provide growers with a pathway towards continuous improvement. In the spirit of continuous improvement, the workbook is updated annually to reflect the latest science-based recommendations, practices, and technologies for sustainable winegrowing. This annual update occurs through a robust stakeholder engagement process including grape growers, winemakers, and conservationists from across New York State. This level of statewide industry participation is essential, as the workbook is designed to apply to all winegrowing regions and grape varieties of New York.

I am humbled by the generous contributions of time and knowledge that the New York wine community have dedicated to updating VineBalance 2023. The 2023 update was conducted through a comprehensive review of VineBalance 2022 following the New York Wine & Grape Foundation Pilot Program for sustainable vineyard certification. Extensive feedback was captured through grower workbook comments, vineyard inspection notes, and pilot program evaluations. The resulting update is tailored to the current state of the New York wine and grape industry. As the industry evolves, so will the workbook. The latest version is used for self-assessment and third-party verification for sustainable vineyard certification through the New York Wine & Grape Foundation Sustainability Program.

The mission of the New York Wine and Grape Foundation Sustainability Program is to advance the environmental, social, and economic sustainability of New York's wine and grape industry through regionally defined sustainability standards, third-party certification, grower education, and stakeholder engagement. Our vision is to elevate New York State as a world class wine and grape region that protects the environment, conserves natural resources, and benefits the community.

Introduction to VineBalance

This workbook is designed to provide grape growers in New York and other regions of the Northeast with guidance in evaluating and adopting best management practices that minimize environmental impacts, reduce economic risks, and protect worker health and safety. These practices include: soil management to reduce erosion, runoff and leaching; use of integrated pest management (IPM) practices for insect, disease, and weed management; nutrient management, with a particular focus on nitrogen use; pesticide management and spray technology; and cultural practices used in viticulture.

Viticulture in New York and the Northeast is diverse. Wine and juice grape varieties are drawn from three general classes of cultivars - native *Vitis labrusca* type grapes, *Vitis vinifera*, and interspecific hybrids (also known as 'French Hybrids'). These cultivars have different growth habits, training systems, and disease and insect susceptibility, and are grown for different markets - from commodity-priced bulk wine and juice grapes to premium estate grown wine grapes. Moreover, the major grape growing regions - Champlain Valley, Finger Lakes, Hudson River Valley, Lake Erie, Long Island, and the Niagara Escarpment - have unique soils, slopes, and climates that greatly influence 'best management practices'.

The impetus for developing this workbook came from industry groups across New York State - from juice grape cooperatives and large wineries based in the Finger Lakes and Lake Erie to the small-winery segment in the Finger Lakes and on Long Island. All of these groups, represented on the steering committee, were looking for a way to promote and document the use of sustainable production practices by growers, processors and wineries.

The workbook's format and content evolved from three previous efforts. The first VineBalance workbook in 2007 was developed using The Long Island Sustainable Viticulture Program draft workbook developed by Cornell Cooperative Extension of Suffolk County and the New York Agricultural Environmental Management (AEM) vineyard worksheets developed by the Cornell Cooperative Extension Finger Lakes Grape Program and the Yates County Soil and Water Conservation District. This workbook represents a synthesis of these three previous efforts, as well as standards drawn from other respected certification programs. The workbook is designed to serve as a reference for all growers across New York - from bulk Concord and hybrid producers to premium *V. vinifera* growers.

Acknowledgements

Thank you to the authors of the VineBalance Grower Self-Assessment Workbook (2007) and the VineBalance Long Island Grower Self-Assessment Workbook (2015) for providing the foundation for this version of the workbook and paving the way for regional adaptation: Alice Wise, Tim Martinson, Jamie Hawk, Tim Wiegler, and Libby Tarletan.

Thank you the authors of the PA VinES Grower Self-Assessment Workbook (2017) for deepening the foundation around native and hybrid grape varieties: Andy Munza, Tim Weigle, Luke Haggerty, Kevin Martin, Bryan Hed, and Jody Timer.

Thank you to Chris Serra for editing the VineBalance 2022 update for use in the New York Wine & Grape Foundation Pilot Program for Sustainable Vineyard Certification.

Thank you to our 2021-2022 Technical Review Committee for asking the tough questions and testing the rigor of our standards: Justine Vanden Heuvel, Hans Walter-Peterson, Jennifer Russo, Tim Martinson, and Tom Eskildsen.

Thank you to our 2021-2022 Grower Sustainability Advisory Committee for countless hours of review, debate, and practical recommendations: Norliah Asma-Kalmar, Paul Brock, J Steven Casscles, Mike Colizzi, Ria D'Aversa, Jordan Harris, Cameron Hosmer, Suzanne Hunt, Rich Olsen-Harbach, John Ingle, Andrew Knight, Duncan Ross, Matt Schrader, Matthew Spaccarelli, John Wagner, and Josh Wig.

Thank you to our 2022 Vineyard Inspectors, Tim Martinson and Chris King, for their detailed vineyard inspection notes and "boots on the ground" perspectives for how VineBalance can be improved.

We hope this workbook will provide grape growers throughout New York with a valuable resource for improving vineyard sustainability.

Program Objectives

Below are the nine objectives that the New York State Wine & Grape Foundation has defined for sustainable winegrowing. Click on an objective to access the portion of the VineBalance workbook that it pertains to it, or use the tabs at the bottom of this workbook to navigate. Many action items that fall under each objective could easily fit into others within this workbook - sustainability is intersectional. Each action item was assigned to the objective that best represents the goal of the practice.

- 1 To promote the use of practices that reduce reliance on off-farm inputs.**
- 2 To build, regenerate, and conserve healthy soils for future generations.**
- 3 To protect surrounding reservoirs and waterways from pollution.**
- 4 To improve energy efficiency and reduce greenhouse gas emissions.**
- 5 To conserve natural resources, reduce waste streams, and recycle.**
- 6 To encourage healthy ecosystems, biodiversity, and wildlife habitat.**
- 7 To increase climate resiliency and promote climate-smart farming.**
- 8 To provide education and pathways for continuous improvement.**
- 9 To foster a socially equitable and economically viable industry.**

How to Use this Workbook

Read through the items under each objective. Give yourself the score (1 to 4) that most closely matches your current practice. If your current practice falls between two scores, write a justification for the score you chose in the comments section beneath the action item. Your final score will be automatically tallied both at the bottom of each objective and at the end of the workbook.

To pass this workbook, a grower must earn 75% of the total available score.

After you have finished with this workbook, you will develop an action plan that outlines how you plan to address any lower-scoring items in future attempts, as well as how to ensure that the practices that lead to your high scores are maintained. Any items with scores of 1 must be remedied via corrective action prior to being eligible for certification.

Objective 1. Input Reduction

One of the benefits of sustainable management is the ability to do more with less through increased efficiency of vineyard operations. Through regular recordkeeping, testing, scouting, and maintenance, it is possible to reduce off-farm inputs. Thoughtful planning and Integrated Pest Management (IPM) practices can reduce the need for chemical intervention. This minimizes the risk that excess chemical inputs will drift, leach, or runoff into the surrounding environment causing adverse ecological effects.

		My Score	1	2	3	4 (Best Practice)
1.1 Recordkeeping						
1.1.1 Vineyard Map			No map exists. OR Map information is inaccurate.		An accurate map exists, but it lacks detail or is incomplete.	Grower maintains a detailed map of the vineyard, including acreage, varieties, drainage tiles, irrigation mains/submains, buildings, roads, areas of runoff, water bodies (lakes, ponds, streams) and wells. AND Map information is tied to production records.
			Comments (Optional):			
1.1.2 Nutrients			No nutrient records are kept. OR Nutrient records are inaccurate.		Nutrient records are accurate, but they lack detail or are incomplete.	The grower maintains detailed records of all nutrient applications, including date, location, acreage, product name and description, analysis of % NPK plus micronutrients, and amount applied per acre.
			Comments (Optional):			

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	My Score	1	2	3	4 (Best Practice)
1.1.3 Pesticides		<p>No pesticide records are kept.</p> <p>OR</p> <p>Pesticide records are inaccurate.</p>	<p>Pesticide records are accurate, but they lack detail or are incomplete.</p>	<p>The grower maintains detailed pesticide records, including:</p> <ul style="list-style-type: none"> • Active ingredient and trade name • EPA registration number • Mode of Action resistance code (MOA) • Location applied • Date(s) applied • Amount and rate applied • Method of application • Applicator's name • Target pest • Pre-Harvest Interval (PHI) and first date of harvest • Re-Entry Interval (REI) 	<p>The grower maintains detailed pesticide records, including:</p> <ul style="list-style-type: none"> • Active ingredient and trade name • EPA registration number • Mode of Action resistance code (MOA) • Location applied • Date(s) applied • Amount and rate applied • Method of application • Applicator's name • Target pest • Pre-Harvest Interval (PHI) and first date of harvest • Re-Entry Interval (REI) <p>AND</p> <ul style="list-style-type: none"> • Weather conditions • Stage of crop development • Stage of pest development
Comments (Optional):					
1.1.4 Pesticide Assessment		<p>Pesticides are not observed for efficacy or resistance.</p>		<p>Pesticides are observed annually for efficacy and resistance.</p> <p>AND</p> <p>Results of the observation are recorded in pesticide records.</p>	<p>Pesticides are observed annually for efficacy and resistance.</p> <p>AND</p> <p>Results of the observation are recorded in pesticide records.</p> <p>AND</p> <p>Pesticides showing resistance are not used the following season.</p>
Comments (Optional):					

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	My Score	1	2	3	4 (Best Practice)
1.1.5 Irrigation		<p>No irrigation records are kept.</p> <p>OR</p> <p>Irrigation records are inaccurate.</p>		Irrigation records are accurate, but they lack detail or are incomplete.	<p>The grower maintains detailed irrigation records, including date, location, volume, justification, timing, and vine age.</p> <p>OR</p> <p>Established vineyard blocks are not irrigated. Irrigation is only applied to newly planted vines.</p>
<p>Comments (Optional):</p>					
<h3>1.2 Spray Equipment</h3>					
1.2.1 Sprayer (Canopy)		<p>Application equipment does not address drift. For example, an unmodified airblast sprayer.</p>		<p>Application equipment is used that increases target deposition and reduces drift. For example, a) airblast sprayer with low drift nozzles such as induction nozzles, b) modified airblast sprayer with deflectors, c) nozzle orientation adjusted to improve deposition.</p>	<p>Application equipment is used that increases target deposition (i.e. reduces drift) and allows for a reduction in the amount and/or rate of pesticides used. For example, a) recycling sprayer, b) tower sprayer, c) directed deposition sprayer.</p>
<p>Comments (Optional):</p>					
<p>More on Canopy Sprayers</p>	<p>The <i>NY and PA Pest Management Guidelines for Grapes</i> provide an overview of spray drift management and nozzle types, including air induction nozzles. Air induction nozzles are well-proven with herbicide applications and are recommended. Canopy application trials have been successful but further season-long trials are still needed.</p> <p>Top and bottom deflectors should be fitted to airblast sprayers to funnel the pesticide-laden air into the canopy. Correct nozzle orientation (to overcome the effects of the uneven airblast resulting from fan rotation) allows the spray plume to target the canopy.</p>				
1.2.2 Sprayer (Herbicide)		<p>Herbicide sprayer is not designed to reduce drift.</p>	<p>Herbicide sprayer is equipped with air induction nozzles to reduce drift.</p>	<p>Herbicide sprayer is equipped with air induction nozzles AND shields to reduce drift.</p>	<p>Herbicide is not applied.</p>
<p>Comments (Optional):</p>					

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		My Score	1	2	3	4 (Best Practice)
More on Herbicide Sprayers			Controlled Droplet Applicators (CDAs) use a spinning disc rotary atomizer that creates a mist of similar size droplets under the dome or shield. This technology allows ultra-low volumes to be used, minimizes drift, and places the herbicide efficiently. Efficient and timely placement of postemergence materials may allow a reduction in rate of material used. Practical experience dictates that these sprayers are less effective with dense stands of weeds. Air induction nozzles (discussed in the NY and PA Pest Management Guidelines for Grapes) are well proven with herbicide application and are recommended.			
1.2.3 Nozzles Improving Spray Efficiency			Nozzle size is not appropriate. OR Nozzles are not replaced when worn or damaged.		Appropriate size nozzles are chosen. AND Nozzles are replaced when worn or damaged.	Appropriate size nozzles are chosen. AND Nozzles are replaced when worn or damaged. AND Nozzles are visually inspected for plugs during each use. OR An air shear sprayer is used.
			Comments (Optional):			
More on Nozzles			Dr. Andrew Landers (see <i>Improving Spray Efficiency</i> above) notes that for nozzles <150 microns in size, droplets are likely to drift, and if temperature is high and humidity low, droplets will evaporate. All nozzles can be purchased with different spray classification characteristics from “fine” to “coarse”. These classifications appear in nozzle catalogs and will soon appear on pesticide labels. If nozzle output exceeds manufacturer recommendations by >10%, the nozzles need replacing.			
1.2.4 Calibration How to Calibrate Air Blast Sprayers			Sprayer is calibrated infrequently, only after repairs, or not at all.	Sprayer is calibrated before the start of each season.	Sprayer is calibrated before the start of each season. AND Sprayer is recalibrated for different applications (e.g. spray directed at canopy vs. clusters).	Sprayer is serviced and calibrated before the start of each season. AND Sprayer is recalibrated for different applications (e.g. spray directed at canopy vs. clusters). OR A flow rate controller is used.
			Comments (Optional):			

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My Score

	1	2	3	4 (Best Practice)
1.2.5 Maintenance Maintenance Checklist	Service occurs only when equipment breaks.	Sprayer is serviced annually in addition to necessary repair work.	Sprayer is serviced annually in addition to necessary repair work. AND Sprayer is inspected before each use.	Sprayer is serviced annually in addition to necessary repair work. AND Sprayer is inspected and routine maintenance is performed before each use, including: <ul style="list-style-type: none"> • Filters • Tire inflation • Grease points • PTO shafts • Guards

Comments (Optional):

More on Maintenance

Additional comments from Dr. Andrew Landers: Tractor speed should be fast enough to provide a good output per hour while ensuring canopy penetration; speeds too fast result in poor penetration in a full canopy, and moving too slowly results in poor output per day. Growers should also minimize the volume of air displaced by their sprayer if possible. The airflow should be adequate to displace the air in the canopy with pesticide-laden air from the sprayer. The volume of spray should provide acceptable coverage though the grower should not spray to the point where the leaves are dripping. Grower should apply sufficient spray for the developing canopy as the season progresses. Alternative row spraying (a common early season practice with airblast sprayers) provides inadequate coverage in many instances, and where disease pressure is highest, research has shown that spraying every row is preferable.

1.2.6 Drift	Grower begins spraying in winds where significant drift will occur.		Grower does not begin spraying if winds are >10 mph unless using a sprayer that is designed/modified to reduce drift.	Grower does not begin spraying if winds are >10 mph unless using a sprayer that is designed/modified to reduce drift. AND No spraying is done when winds are >15 mph.
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Comments (Optional):

1.3 Nutrition

Objective 1. Input Reduction

One of the benefits of sustainable management is the ability to do more with less through increased efficiency of vineyard operations. Through regular recordkeeping, testing, scouting, and maintenance, it is possible to reduce off-farm inputs. Thoughtful planning and Integrated Pest Management (IPM) practices can reduce the need for chemical intervention. This minimizes the risk that excess chemical inputs will drift, leach, or runoff into the surrounding environment causing adverse ecological effects.

My Score

	1	2	3	4 (Best Practice)
<p>1.3.1 Tissue Analysis Cornell Plant Sampling Guide</p>	Tissue analysis is not done.	Tissue analysis is done only when there is a problem.	Tissue analysis is done on most blocks every one to two years.	<p>Tissue analysis is done on all blocks every one to two years.</p> <p>AND</p> <p>Results are used in planning future fertilization.</p>
Comments (Optional):				
<p>1.3.2 Soil Analysis Cornell Soil Health Laboratory</p>	Complete soil analysis is not done on any portion of the vineyard.	<p>Complete soil analysis is only done on some portions of the vineyard.</p> <p>OR</p> <p>Complete soil analysis for the entire vineyard is 5+ years old.</p>	Complete soil analysis is done on the entire vineyard every 3 to 4 years.	<p>Complete soil analysis is done on the entire vineyard every 3 to 4 years or more.</p> <p>AND</p> <p>Results are used in a nutrient management plan.</p>
Comments (Optional):				
<p>GROWER GUIDE: Synthetic N Growers should answer a 4 for questions 1.3.3 to 1.3.5 and 1.3.7 to 1.3.8 if synthetic N is not applied in any form.</p>				
<p>1.3.3 Soil Applied Synthetic N</p>	Soil applied synthetic N rates are not adjusted annually.	<p>Soil applied synthetic N rates are adjusted annually based on at least 3 of these criteria:</p> <ul style="list-style-type: none"> • Previous year's crop level • Vine pruning weights • % soil organic matter • Historical records • Visual clues of N • Tissue analysis • Winter injury • Canopy fill • Soil type • Variety 	<p>Soil applied synthetic N rates are adjusted annually based on at least 4 of these criteria:</p> <ul style="list-style-type: none"> • Previous year's crop level • Vine pruning weights • % soil organic matter • Historical records • Visual clues of N • Tissue analysis • Winter injury • Canopy fill • Soil type • Variety 	Synthetic N is not applied.
Comments (Optional):				

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	My Score	1	2	3	4 (Best Practice)
More on Nitrogen		<p>Nitrogen is the plant nutrient most susceptible to loss by leaching (movement through soil) into groundwater. Specific health problems are associated with nitrate contamination of drinking water supplies. Nitrate levels higher than 10 mg/l (designated the Maximum Contaminant Level by the US EPA and NYS) have been found in groundwater in several areas of New York, often in association with spring runoff or heavy rainfall events. It is therefore absolutely essential for grape growers to use nitrogen in a thoughtful and sparing manner.</p> <ul style="list-style-type: none"> • If winter injury has occurred, delay N decisions until after fruit set to allow evaluation of vigor level and fruit set. • N deficiency symptoms: pale green leaves, small leaves, spindly shoots, short internodes, poor fruit set. • N excess symptoms: dark green, "dinner plate" leaves, bullwood, succulent shoots with long internodes, poor fruit set. 			
1.3.4 N Application Rate		<p>Vinifera and premium hybrids: 20-40 lbs/acre actual N is applied in a given year.</p> <p>Bulk hybrids and natives: 70-100 lbs/acre actual N is applied in a given year.</p>	<p>Vinifera and premium hybrids: <20lbs/acre actual N is applied in a given year.</p> <p>Bulk hybrids and natives: 50-70 lbs/acre actual N is applied in a given year.</p>	<p>Vinifera and premium hybrids: <20lbs/acre actual N is applied in a given year.</p> <p>Bulk hybrids and natives: <50 lbs/acre actual N is applied in a given year.</p>	Synthetic N is not applied
Comments (Optional):					
1.3.5 N Fixing Cover Crops Estimating PAN Release from Cover Crops Compost C:N Ratio Considerations		<p>No component of the cover crop is N fixing (e.g. legumes such as clover and vetch).</p>	<p>A component of the cover crop is N fixing (e.g. legumes such as clover and vetch).</p> <p>AND</p> <p>Vines show excess vigor.</p> <p>AND</p> <p>Vines utilize >75% of the trellis space.</p>	<p>A component of the cover crop is N fixing (e.g. legumes such as clover and vetch).</p> <p>AND</p> <p>Vines show balanced growth.</p> <p>AND</p> <p>Vines utilize <75% of the trellis space.</p>	<p>A component of the cover crop is N fixing (e.g. legumes such as clover and vetch).</p> <p>AND</p> <p>Vines show balanced growth.</p> <p>AND</p> <p>Vines utilize <75% of the trellis space.</p> <p>AND</p> <p>Supplemental synthetic N is not applied.</p>
Comments (Optional):					
1.3.6 Organic Fertilizer OMRI		Only synthetic fertilizers are used.	Less than half of fertilizer applications are organic.	More than half of fertilizer applications are organic.	Only organic fertilizers are used (e.g. on-farm compost, biochar, mulch, OMRI Listed® materials).

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		My Score	1	2	3	4 (Best Practice)
			Comments (Optional):			
1.3.7 Spring N Application			<p>Synthetic N is applied to soil >2 weeks prior to budbreak.</p> <p>OR</p> <p>Synthetic N is applied to soil when the ground is frozen.</p>	<p>Synthetic N is applied to the soil in the period between fruit set and veraison.</p>	<p>Synthetic N is applied during the period of maximum uptake - budbreak to fruit set.</p> <p>AND</p> <p>Split applications are used with the 30-50% of the N applied prebloom and the remainder applied postbloom.</p>	<p>Synthetic N is not applied.</p>
			Comments (Optional):			
More on Spring N Application	There is little absorption of N by roots prior to budbreak. The soil is cold and roots are inactive. Early vine growth depends almost entirely on N stored in the woody parts of the vine. It is unclear whether pre-budbreak application of slower release organic fertilizers confers an advantage in terms of N availability to the plant.					
1.3.8 Foliar N			<p>Foliar N is applied around harvest.</p>	<p>Foliar N is applied once in late spring.</p> <p>BUT</p> <p>Application is not based on visual cues or tissue analyses.</p>	<p>Foliar N is applied once in late spring.</p> <p>AND</p> <p>Application is based on visual cues and tissue analyses reporting <1.0% N in spring.</p>	<p>Foliar N is not applied.</p>
			Comments (Optional):			
More on Foliar N	<p>Early season foliar N is common in winegrape vineyards and may benefit N deficient vineyards. However, N needs are best addressed through addition of organic matter and/or ground application of N fertilizers.</p> <p>Clusters have a fairly high N demand around veraison. Foliar-applied urea (or other foliar feeds containing N) applied several times around veraison can increase yeast-assimilable nitrogen (YAN) in musts, particularly when drought has limited N uptake from the soil. In Cornell trials over the last few years, up to 10 lb urea in 100 gallons (5 lb actual N) has been used without burning the foliage. This is not a panacea for eliminating Atypical Aging ATA, a wine defect associated with limited N uptake in drought years in white wines), but has had a secondary role (the more major effect occurring with irrigation) in reducing ATA. It is effective in bumping up the YAN values, which may help winemakers avoid stuck fermentations. It does not appear to prolong or 'restart' shoot growth, nor delay wood maturation.</p>					

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	My Score	1	2	3	4 (Best Practice)
<p>1.3.9 Macronutrients (P, Ca, MG, K)</p>		Fixed amounts of macronutrients are applied annually.	Macronutrient levels in soil are adjusted only when deficiencies occur.	Macronutrients are maintained at acceptable ranges based on soil and tissue analysis.	<p>Macronutrients are maintained at acceptable ranges based on soil and tissue analysis.</p> <p>AND</p> <p>Vineyard manager can identify deficiency symptoms.</p>
<p>Comments (Optional):</p>					
<p>More on Macronutrients</p>		<p>The application of Ca, Mg, and K as foliar nutrients is not well understood. Use can be based in part on soil/tissue analysis and visual clues. Magnesium deficiency is often addressed through the use of foliar applied Epsom salts. In general, due to the relatively large quantities required by vines, macronutrient nutrition is best addressed through the root system.</p> <p>Excessive amounts of P in surface water promote the growth of algae and other aquatic organisms, potentially depleting oxygen levels in surrounding water bodies. This can have profound impacts on aquatic life. Because P is less available in acid soils, simply increasing soil pH to 6.0-6.5 will increase P availability. Generally, P fertilization has not been found to benefit NY vineyards in part due to the immobility of the nutrient.</p>			
<p>1.3.10 Micronutrients (B, Mn, Zn)</p>		Fixed amount of micronutrients are applied annually without regard to petiole and soil results.	Micronutrient levels in soil are adjusted only when deficiencies occur.	Micronutrients are maintained at acceptable ranges based on soil and petiole results.	<p>Micronutrients are maintained at acceptable ranges based on soil and petiole results.</p> <p>AND</p> <p>Vineyard manager can identify both deficiency and toxicity symptoms.</p>
<p>Comments (Optional):</p>					
<p>More on Micronutrients</p>		<p>There are many types of micronutrient fertilizers. The most commonly applied are boron, manganese and zinc. Because these elements are required in small quantities and petiole analysis sometimes do not reflect a deficiency (due to time of sampling, type of tissue sampled, dilution effects due to vigorous growth, etc.), it is sometimes necessary to use these fertilizers based on historical knowledge of the vineyard. It is often hard to gauge efficacy of micronutrient fertilizers as they are used in small quantities and the elements are involved in specific enzyme systems and chemical pathways. If possible, leave a section of the vineyard untreated. To judge potential benefits, evaluate subsequent fruit quality and quantity. Examine soil and petiole analyses. Over a period of time, the benefits may or may not become clear.</p>			

1.4 Canopy, Vines, and Crop

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My Score

	1	2	3	4 (Best Practice)
<p>1.4.1 Plant Material</p> <p>Foundation Plant Service</p>	<p>Grower chose not to replant unhealthy vines.</p>	<p>Grower chose to replant unhealthy vines with non-certified plant material.</p> <p>AND</p> <p>A search for certified plant material did not occur or was not documented.</p>	<p>Grower chose to replant unhealthy vines with a mix of non-certified and certified plant material.</p> <p>AND</p> <p>A search for certified plant material was documented and a certified alternative was not available for some varieties planted.</p>	<p>Grower chose to replant unhealthy vines with certified plant material from a reputable nursery.</p> <p>OR</p> <p>New vines were layered or grafted from healthy existing vines.</p> <p>OR</p> <p>No unhealthy vines required replanting in the given year(s).</p>

Comments (Optional):

More on Plant Material

The use of certified plant material can reduce the incidence of virus. However, certification is not a 100% guarantee against viral infection due to many issues including the difficulty in detecting virus in vines, the possibility of transmission by nematodes or mealybugs, and transmission from non-certified virus infected material. New York currently certifies Virus-Tested *Vitis* sp. (grapes) and *Malus* sp. (apple). [NYS Department of Agriculture and Markets Virus-Tested Certification](#). **Action Item 1.4.1 Plant Material** is written to reflect the current state of virus testing in grapevine nurseries in New York in 2022, acknowledging that virus-tested material is not always available in sufficient quantities for every variety a grower wishes to plant. Availability is likely to improve in future years.

1.4.2 Variety and Rootstock

<p>Challenges to growing the specific variety/rootstock on the site or in the region are not known.</p>	<p>Some challenges to growing the specific variety/rootstock on the site or in the region are known.</p> <p>BUT</p> <p>A university extension service was not consulted prior to planting.</p>	<p>Challenges to growing the specific variety/rootstock on the site or in the region are known.</p> <p>AND</p> <p>A university extension service was consulted prior to planting.</p>	<p>The specific variety/rootstock are carefully selected based on winter hardiness, soil type, and site characteristics.</p> <p>AND</p> <p>A university extension service was consulted prior to planting.</p> <p>AND</p> <p>A plan is in place to address and mitigate risks with each variety/rootstock.</p>
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Comments (Optional):

More on Rootstock

On replant sites, hybrid varieties susceptible to tomato ringspot virus should be grafted onto resistant rootstock. This includes varieties such as Vidal blanc, Baco noir and DeChaunac.

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.4.3 Training Systems		Training system is inadequate for vine vigor and growth habit.		Training system accommodates vine vigor and growth habit.	Training system accommodates vine vigor and growth habit. AND Training system optimizes fruit exposure and canopy density.
Comments (Optional):					
More on Training Systems	Most <i>vinifera</i> and many hybrid winegrapes are suited to Vertical Shoot Positioned (VSP) systems. More vigorous winegrapes may be trained using the Scott Henry system. Native and bulk hybrids with procumbent growth habits are suited to top wire systems such as the Hudson River Umbrella or Geneva Double Curtain (GDC). The optimum shoot density for single curtain systems is 4-5 shoots/ft of row. Systems with more than one curtain, such as GDC and Scott Henry will have twice the shoot number.				
1.4.4 Viticultural Practices Cornell Extension		Canopy management practices (pruning, shoot thinning, leaf pulling, etc.) are inadequate to maintain a low input crop.		Canopy management practices (pruning, shoot thinning, leaf pulling, etc.) are adequate to maintain a low input crop.	Canopy management practices (pruning, shoot thinning, leaf pulling, etc.) are adequate to maintain a low input crop. AND Canopy management practices evolve with the latest university extension recommendations.
Comments (Optional):					
1.4.5 Crop Management		None of the following are managed according to the guidelines in Appendix A : <ul style="list-style-type: none">• Yield Adjustment• Crop Thinning• Yield Estimation• Vigor Management	At least one of the following is managed according to the guidelines in Appendix A : <ul style="list-style-type: none">• Yield Adjustment• Crop Thinning• Yield Estimation• Vigor Management	At least two of the following are managed according to the guidelines in Appendix A : <ul style="list-style-type: none">• Yield Adjustment• Crop Thinning• Yield Estimation• Vigor Management	At least three of the following are managed according to the guidelines in Appendix A : <ul style="list-style-type: none">• Yield Adjustment• Crop Thinning• Yield Estimation• Vigor Management
Comments (Optional):					

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.4.6 Hilling-Up Vines		Grafted vines are never hilled-up or mulched at the graft union to prevent winter damage.	Grafted vines are intermittently hilled-up or mulched at the graft union to prevent winter damage.	Grafted vines are always hilled-up or mulched at the graft union for first 4 years from planting and intermittently thereafter.	Grafted vines are always hilled-up or mulched at the graft union. OR Winter temperatures rarely drop below freezing for extended periods of time. OR Cold-hardy hybrids are not grafted.
Comments (Optional):					
More on Hilling Up	Although time consuming, hilling up prevents exposing the vineyard to an unacceptable risk of vine and production losses. Hills should be removed during the growing season to avoid scion rooting. Some growers have success with burying the graft union in hay mulch or compost rather than soil. Hilling up is generally not necessary on Long Island where winter temperatures rarely drop below 0 degrees for extended periods of time, though periodic episodes of winter injury do occur. Hilling up is also not recommended own-rooted cold-hardy hybrids that have not been grafted.				
1.4.7 Missing Vines		Missing vines are not replaced.	Missing vines are replaced every few years; layering is practiced every few years.	Missing vines are replaced every other year; layering is practiced every other year. AND Yield records are adjusted to account for missing vines.	Missing vines are replaced every year; layering is practiced every year. AND Yield records are adjusted to account for missing vines.
Comments (Optional):					
More on Missing Vines	Missing vines reduce vineyard profitability and lead to inefficiency in use of pesticides and fertilizers. Yield must be estimated with missing vines taken into consideration. If overall yield is 4 tons/acre but 50% of vines are missing, functional crop is therefore 8 tons/acre, a potential overcrop.				
1.4.8 Vineyard Profitability		Overall farm income and expenses are recorded only when tax returns are filled out.	Vineyard expenses and income are not broken out by variety and block but overall farm income and expenses are known.	Vineyard expenses and income are recorded by variety but not by individual block.	Vineyard expenses and income are recorded for each individual block.
Comments (Optional):					

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.4.9 On-Farm Experiments SARE How to Conduct Research		No experimentation is being done.	At least one experiment is being evaluated on a small scale. BUT Results are anecdotal.	At least two experiments are being evaluated on a small scale. BUT Results are anecdotal.	At least two experiments are being evaluated on a small scale. AND Data is collected to measure performance.
Comments (Optional):					
More on Experiments	On-farm experimentation can encompass almost anything from informal evaluations to formal, replicated field trials. Key ingredients that must be used to make field comparisons useful are: 1) vary only one practice at a time; 2) leave a portion of the same vineyard block 'untreated' or with your standard practice; 3) measure something objective; and 4) record your observations. Area extension programs may be useful in helping growers design informal or formal trials. Experiments can explore a number of things, including grape varieties, rootstocks, cover crops, training systems, and soil amendments, etc.				
1.5 Vineyard Floor					
1.5.1 Monitoring Weeds of the Northeast		Weed compositions is not monitored for noxious species.	Weed compositions is monitored for noxious species once per season.	Weed compositions is monitored for noxious species twice per season.	Weed compositions is monitored for noxious species more than twice per season.
Comments (Optional):					
1.5.2 Ground Cover		<50% of the vineyard floor is covered with plant material from mid-August through mid-October.	50-75% of the vineyard floor is covered with plant material from mid-August through mid-October.	75-99% of the vineyard floor is covered with plant material from mid-August through mid-October.	100% of the vineyard floor is covered with plant material from mid-August through mid-October.
Comments (Optional):					

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.5.3 Non-Chemical Methods		<p>Grower uses only chemical weed control.</p>	<p>Grower uses one weed control method listed below in rotation with chemical weed control:</p> <ul style="list-style-type: none"> • Steam • Flaming • Mowing • Mulching • Cultivation • Solarization • Culinary oils • Bioherbicides • Hand weeding • Grazing animals • Biological control 	<p>Grower uses two or more weed control methods listed below in rotation with chemical weed control:</p> <ul style="list-style-type: none"> • Steam • Flaming • Mowing • Mulching • Cultivation • Solarization • Culinary oils • Bioherbicides • Hand weeding • Grazing animals • Biological control 	<p>Grower uses two or more weed control methods listed below to fully replace chemical weed control:</p> <ul style="list-style-type: none"> • Steam • Flaming • Mowing • Mulching • Cultivation • Solarization • Culinary oils • Bioherbicides • Hand weeding • Grazing animals • Biological control
<p>Comments (Optional):</p>					
1.5.4 Preemergence Herbicides		<p>Preemergence herbicides with high leaching potential are used regardless of soil type or water table risk.</p>	<p>Preemergence herbicides with high leaching potential are used, but not on gravelly/sandy soils or over high water tables.</p> <ul style="list-style-type: none"> • Simazine (Princep) • Diuron (Karmex) • Norflurazon (Solicam) 	<p>Preemergence herbicides with high leaching potential are not used.</p> <ul style="list-style-type: none"> • Simazine (Princep) • Diuron (Karmex) • Norflurazon (Solicam) 	<p>No preemergence herbicides are applied.</p>
<p>Comments (Optional):</p>					
1.5.5 Glyphosate		<p>Glyphosate is the exclusive mode of action and method for weed control.</p>	<p>Glyphosate is rotated with other modes of action or methods for weed control.</p>	<p>Glyphosate is only used for spot spraying noxious or perennial weeds.</p>	<p>Glyphosate is not used.</p>
<p>Comments (Optional):</p>					

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.5.6 Postemergence Frequency		Postemergence herbicide is applied more twice.	Postemergence herbicide is applied twice at appropriate times. AND Application rates are adjusted based on soil type and herbicide characteristics.	Postemergence herbicide is applied once at an appropriate time. AND Application rates are adjusted based on soil type and herbicide characteristics.	No postemergence herbicides are applied.
Comments (Optional):					
1.5.7 Spot Treatments		Spray is applied to the entire vineyard without regard to the presence of visible weeds.		Visible weeds are treated with a manual hand gun sprayer. OR Machine sprayer is manually turned off when no weeds are present.	No postemergence herbicides are applied.
Comments (Optional):					
1.5.8 Rotation HRAC Lookup		Herbicide mode of action is not rotated.	Every 4 years, herbicides are rotated to another mode of action.	Every 1 to 3 years, herbicides are rotated to another mode of action.	No chemical herbicide is used.
Comments (Optional):					
1.5.9 Mowing Reference Document		Grower mows for aesthetic reasons weekly.	Grower mows for weed, water, and pest management weekly.	Grower mows for weed, water, and pest management every 2 weeks.	Grower mows for weed, water, and pest management every 3 to 4 weeks.
Comments (Optional):					
More on Mowing	<p>In regions where Lyme disease is a concern, more frequent mowing is warranted as a safety measure for workers. Mowing should be restricted to the amount necessary to allow normal vineyard operations, worker safety, or other carefully considered vineyard objectives. Mowing does not reduce water use during droughts, and excessive mowing wastes fuel, tractor time, and management time better devoted to other tasks.</p> <p>High mowing heights can greatly reduce beneficial insect and pollinator fatalities. Mowing early (before 7am) or later (after 6pm) can also protect bees and reptiles.</p>				

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.6 Plant Protection and IPM					
1.6.1 Dormant Practices		Pruning and spray program are not adjusted for the presence of overwintering inoculum.	Spray program is adjusted to the level of overwintering inoculum. BUT Pruning does not select for the presence of overwintering inoculum.	Wood infected by overwintering Phomopsis cane, leaf spot, and black rot is pruned off. BUT Spray program is not adjusted to the level of overwintering inoculum.	Wood infected by overwintering Phomopsis cane, leaf spot, and black rot is pruned off. AND Spray program is adjusted to the level of overwintering inoculum.
Comments (Optional):					
1.6.2 Dormant Fungicide Sprays		Two or more dormant sprays are applied every year without regard to inoculum from previous year.	One dormant spray is applied every year without regard to inoculum from previous year.	Dormant sprays are only applied occasionally in specific blocks with extremely high overwintering inoculum.	Due to data indicating marginal benefit and high cost, dormant sprays are not applied to the vineyard.
Comments (Optional):					
More on Dormant Sprays	<p>According to Dr. Wayne Wilcox, a single dormant spray MAY be appropriate if extreme levels of powdery mildew or Phomopsis are present on canes, but only if spray coverage is maximized with an efficient sprayer. Any benefits derived from such a spray are highly unlikely if a low efficiency sprayer, such as an unmodified airblast sprayer, is used.</p> <p>Experiments conducted in upstate NY in the 1980s showed that dormant applications of lime sulfur reduced the viability of overwintering inoculum of the powdery mildew and Phomopsis fungi, and sometimes improved the efficacy of the standard spray program that followed. However, these trials were conducted using a rate of over 30 gal/acre of lime sulfur in 300 gal/acre of water. (Note that lime sulfur is not a mix of lime + sulfur but rather calcium polysulfide, a completely different material.) This rate is extremely expensive and impractical. Lower rates (e.g., 10-12 gal lime sulfur in 100 gal water per acre) have been advocated in California, but data on their efficacy is very limited. In one NY trial, they provided only modest benefits at a relatively high cost. Most conventional fungicides should have little or no activity if applied during the dormant season, nor are they labeled for use at that time of year.</p>				
1.6.3 Scouting for Disease/Virus uspest.org MyPest		Scouting is not done.	Scouting is done informally or on an occasional basis. No records are kept.	Scouting is done routinely and indication of virus or disease is recorded in pesticide records.	Scouting is done May through September at key phenological stages and indication of virus or disease is recorded in pesticide records. AND Disease models are consulted for additional predictive planning.

Objective 1. Input Reduction

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		My Score	1	2	3	4 (Best Practice)
			Comments (Optional):			
More on Disease Scouting			Monitoring of fungal and viral diseases requires vigilance. Particularly with fungal diseases, it is important to address any problems as soon as possible. Remedial steps tend to be much more effective in the early stages of infection. Ideally, in a given vineyard block, 5% of the vines or a minimum of 10 vines are examined weekly for signs of disease. These vines can be chosen using historical records to ensure that hotspots are the first to be scouted. Other options are randomly chosen vines or vines that are permanently tagged. Permanent tags offer the additional advantage of charting a range of measurements (e.g., vine pruning weight, disease status, etc.) from year to year. Both foliage and fruit should be examined for signs of disease.			
1.6.4 Identifying Disease UC Davis IPM			Grower cannot identify symptoms of fungal and viral diseases.	Grower can identify some fungal and viral disease symptoms but does not use publications to ensure proper identification.	Grower can identify most fungal and viral disease symptoms and life cycles with the aid of publications.	Grower can identify the following diseases and has knowledge of crop susceptibility: Fungal • Botrytis • Black rot • Phomopsis • Downy mildew • Powdery mildew Viral • Leaf roll • Fanleaf
			Comments (Optional):			
1.6.5 Virus-Infected Vines			There is no removal of virus-infected vines.	Virus-infected vines are removed if they are not producing sufficient quality and quantity of fruit.	Virus-infected vines are removed if they are not producing sufficient quality and quantity of fruit. AND Virus-infected vines that are not removed are marked.	Virus-infected vines and one neighboring vine on either side are removed every year.
			Comments (Optional):			
1.6.6 Field Staff Training			Grower does not provide training on grape disease and insect identification to field staff.	Grower provides training on grape disease or insect identification to some field staff.	Grower provides training on grape disease and insect identification to some field staff.	Grower provides training on grape disease and insect identification to all field staff as needed.

Objective 1. Input Reduction

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		My Score			
		1	2	3	4 (Best Practice)
		Comments (Optional):			
1.6.7 Trunk Diseases Appendix B		Diseased trunks are not removed.	Diseased trunks are removed as necessary.	Diseased trunks are removed and replaced regularly. AND Pruning is avoided during wet weather.	Diseased trunks are removed and replaced regularly. AND Pruning is avoided during wet weather. AND Pruning wound treatment begins within the first 5 years of planting new vineyards.
		Comments (Optional):			
1.6.8 <i>Botrytis cinerea</i> Appendix B		Botrytis management relies on fungicides alone.	Two of the following practices are done for Botrytis control: <ul style="list-style-type: none"> • Canopy division (Lyre, Scott Henry, Smart Dyson, Geneva Double Curtain) • Sprays at key phenological stages (bloom, bunch closure, veraison) • Cluster thinning to reduce "stacking" • Fruit zone directed spraying • Leaf pulling 	Three of the following practices are done for Botrytis control: <ul style="list-style-type: none"> • Canopy division (Lyre, Scott Henry, Smart Dyson, Geneva Double Curtain) • Sprays at key phenological stages (bloom, bunch closure, veraison) • Cluster thinning to reduce "stacking" • Fruit zone directed spraying • Leaf pulling 	Four or more of the following practices are done for Botrytis control: <ul style="list-style-type: none"> • Canopy division (Lyre, Scott Henry, Smart Dyson, Geneva Double Curtain) • Sprays at key phenological stages (bloom, bunch closure, veraison) • Cluster thinning to reduce "stacking" • Fruit zone directed spraying • Leaf pulling
		Comments (Optional):			
1.6.9 Leaching Potential Reference Document		Leaching potential is not taken into account when selecting fungicides.		Materials with high leaching potential are avoided except where no alternatives exist.	Materials with high leaching potential are not applied.

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		My Score			
		1	2	3	4 (Best Practice)
		Comments (Optional):			
1.6.10 Fungicides Reduced Risk Minimum Risk Biopesticides OMRI (Organic) Approved FRAC		Reduced risk, minimum risk, biopesticides, and OMRI Listed materials are never used. AND/OR Fungicide rotation is ignored.	Reduced risk, minimum risk, biopesticides, and OMRI listed materials comprise at least 10% of the fungicides used. AND All fungicides are rotated properly to avoid resistance.	Reduced risk, minimum risk, biopesticides, and OMRI listed materials comprise at least 25% of the fungicides used. AND All fungicides are rotated properly to avoid resistance.	Reduced risk, minimum risk, biopesticides, and OMRI listed materials comprise at least 50% of the fungicides used. AND All fungicides are rotated properly to avoid resistance.
		Comments (Optional):			
More on Fungicides		Additional comments from Dr. Wayne Wilcox: Reducing the application rates of fungicides can save money and reduce the potential for short-term environmental pollution. However, this is not a long-term sustainable practice for certain fungicides. Specifically, reducing rates of the DMI fungicides (also called SIs or sterol inhibitors - Elite, Nova, Procure, and Rubigan) and the strobilurins (Abound, Flint, Sovran) is known to promote the development of resistance to these materials. In contrast, reducing the rates of traditional protectant materials (Dithane, Manex, Penncozeb, coppers, sulfurs, etc.) has no impact on resistance development but can shorten the duration of their active period. Also, note that pesticide rates are typically expressed on a per-acre basis for both legal purposes and convenience, although target organisms actually respond to a rate per unit area of canopy volume. Thus, a rate of 3 oz/acre applied to a thin canopy early in the season may provide the same level of activity as 6 oz/acre applied to a thick canopy in mid-summer. In short, efforts to reduce pesticide rates should be governed not only by the particular materials in use but also by the canopy volume.			
1.6.11 Identifying Insect Pests UC Davis IPM		Grower cannot identify any insect pests or the damage they cause.	Grower can identify some insect pests and the damage they cause but does not use publications to ensure proper identification.	Grower can identify most insect pests and the damage they cause with the aid of publications.	Grower can identify the following insect pests and has knowledge of crop susceptibility: <ul style="list-style-type: none"> • Major insects • Minor insects • Mites
		Comments (Optional):			

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	My Score	1	2	3	4 (Best Practice)
1.6.12 Scouting for Insects		Scouting is not done for insect and mite pests.	Scouting is done informally or on an occasional basis. No records are kept.	Scouting is done routinely and indication of insect pests is recorded in pesticide records.	Scouting is done every other week or at the first signs of the pest for major insects (e.g. European Red Mite, Potato Leafhopper, Japanese Beetles, Grape Berry Moth, Grape Leafhopper and Rose Chafer). AND Insect models are consulted for additional predictive planning.
Comments (Optional):					
1.6.13 Economic Thresholds Reference Document		Treatments for pests are done on a calendar basis.	Treatments for pests are done at the first sign without regard for economic thresholds.	Treatments for pests are done based on scouting results and economic thresholds.	Treatments for pests are done based on scouting results and economic thresholds. AND Historical pest pressure is known and considered when planning treatment.
Comments (Optional):					
1.6.14 Spot Treatments		The entire vineyard is treated at the first sign of pests.	The entire vineyard is treated when an insect infestation occurs. BUT Economic thresholds are considered.	Only the blocks with economically damaging pest levels are treated.	Only localized spot treatment is done on areas with economically damaging pest levels.
Comments (Optional):					

Objective 1. Input Reduction

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	My Score	1	2	3	4 (Best Practice)
1.6.15 Insecticides Reduced Risk Minimum Risk Biopesticides OMRI (Organic) Approved IRAC		Reduced risk, minimum risk, biopesticides, and OMRI listed materials are never used. OR Insecticides are not rotated.	Reduced risk, minimum risk, biopesticides, and OMRI listed materials comprise at least 25% of the insecticides used. AND Insecticides are rotated properly to avoid resistance.	Reduced risk, minimum risk, biopesticides, and OMRI listed materials comprise at least 50% of the insecticides used. AND Insecticides are rotated properly to avoid resistance.	Insecticides are not used.
Comments (Optional):					
1.6.16 European Red Mite		More than 50% of the spray materials used are rated as harmful to European Red Mite predators. OR Two or more mancozeb sprays are applied in the period after bloom.	Less than 50% of the spray materials used are rated as harmful to European Red Mite predators. AND One mancozeb spray is applied in the period after bloom.	Less than 50% of the spray materials used are rated as harmful to European Red Mite predators. AND Mancozeb is only used in sprays applied prior to bloom.	Less than 50% of the spray materials used are rated as harmful to European Red Mite predators. AND Mancozeb is not used.
Comments (Optional):					
Objective 1 Score					

Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

		My Score	1	2	3	4 (Best Practice)
2.1 Leaching, Runoff, Erosion						
2.1.1 Minimizing Leaching			Pesticide, herbicide, and fertilizer applications are made with no consideration to leaching potential.	Pesticide, herbicide, and fertilizer application rates are adjusted to limit movement. AND Applications of ground directed fertilizers and herbicides are delayed when heavy rains are expected.	Pesticides, herbicides and fertilizers with high leaching potential are not used, and appropriate application rates are used to limit movement. AND Applications of ground directed fertilizers and herbicides are delayed when heavy rains are expected.	Pesticides, herbicides and fertilizers with high leaching potential are not used, and appropriate application rates are used to limit movement. AND Applications of ground directed fertilizers and herbicides are delayed when rainfall is forecasted. AND Permanent cover crops are maintained in row middles.
Comments (Optional):						
2.1.2 Slopes			Vineyard rows run parallel to the main slope and there is no erosion management plan in place.		Vineyard rows run perpendicular to the main slope. OR Vineyard rows run parallel to the main slope and an erosion management plan in place. OR The vineyard is flat, without a main slope, and erosion potential is low.	Vineyard rows run perpendicular to the slope. OR Vineyard rows run parallel to the main slope and an erosion management plan in place. OR The vineyard is flat, without a main slope, and erosion potential is low. AND There is an undervine cover crop to minimize erosion.
Comments (Optional):						
More on Slopes	Vineyard rows can reduce the effective slope by channeling water across it. Such protection is less effective when the slope <i>along vineyard rows</i> exceeds 3%, when slope direction is not uniform (side hills present), or when the main slope exceeds 12%.					

Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

My Score

2.1.3 Erosion
Yates County SWCD
NRCS

1

No erosion management plan is in place.

AND

Corrective action is not taken where erosion is evident.

2

No erosion management plan is in place.

AND

Corrective action is taken where erosion is evident (e.g. diversions, filter strips, seeding bare soil, etc.).

3

An erosion management plan is in place.

AND

Corrective action is taken where erosion is evident (e.g. diversions, filter strips, seeding bare soil, etc.).

AND

Permanent cover crops are established in row middles.

4 (Best Practice)

An erosion management plan is in place.

AND

Corrective action is taken where erosion is evident (e.g. diversions, filter strips, seeding bare soil, etc.).

AND

Permanent cover crops are established in row middles.

AND

Other forms of semi-permeable mulch (e.g. straw, hay, leaves, cover crop residue, etc.) are applied to row middles where needed.

Comments (Optional):

More on Mulch

Application of straw mulch to row middles is a highly beneficial practice, particularly on eroded hillside vineyards. It conserves moisture, adds organic matter to the soil, and is highly effective in reducing erosion and runoff. It is commonly applied to alternate row middles, and often applied in the fall after harvest. Straw mulch can supply significant amounts of potassium to soils. It is most cost effective to use when growers bale the straw themselves and have open land that they can devote to producing it. Round bales are most often rolled out using self-fabricated tractor-mounted equipment to unroll the bales.

2.1.4 Drainage

Soils are poorly drained.

AND

Standing water often persists after rain events.

Soils are moderately drained.

OR

Limited tile drainage is installed on observably wet areas.

AND

Standing water sometimes persists after rain events.

Soils are well drained.

OR

Adequate tile drainage for the soil texture and variety is installed across newly planted areas.

AND

Standing water rarely persists after rain events.

Soils are well drained.

OR

Adequate tile drainage for the soil texture and variety is installed across newly planted areas.

AND

Standing water never persists after rain events.

Comments (Optional):

2.2 Soil Analysis

Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

	My Score	1	2	3	4 (Best Practice)
2.2.1 Pre- or Re-Plant		No soil analysis is done prior to planting or re-planting vineyard blocks.	Only pH is tested prior to planting or re-planting vineyard blocks: a complete soil analysis is not done.	A complete soil analysis is done prior to planting or re-planting vineyard blocks.	A complete soil analysis is done prior to planting or re-planting vineyard blocks. AND Results are incorporated into the nutrient management plan.
Comments (Optional):					
2.2.2 pH Adjustment		Soil pH is not known. OR Soil pH is not adjusted where needed. OR More than 3 tons per acre of lime is in a given year.		Soil pH is known. AND Soil pH is adjusted so the top 16" of soil is approximately 6.0-7.0 for <i>V. vinifera</i> , 5.5-6.5 for hybrids, and 5.0-6.0 for natives. AND Less than 3 tons per acre of lime is applied in a given year. OR No lime addition is necessary.	Soil pH is known. AND Soil pH is adjusted so the top 16" of soil is approximately 6.5 for <i>V. vinifera</i> , 6.0 for hybrids, and 5.5 for natives. AND Less than 3 tons per acre of lime is applied in a given year. OR No lime addition is necessary.
Comments (Optional):					
More on pH	<p>Three major types of grapevines are grown in New York: natives, hybrids, and <i>V. vinifera</i>. Native labrusca are adapted to acid soils, with optimum pH around 5.5. <i>V. vinifera</i> are more adapted to neutral soil pH (6.5-7.0) and can exhibit nutrient deficiencies in acid soils. Interspecific hybrid varieties are hybrids of American (often acid-adapted) <i>Vitis spp.</i> and <i>V. vinifera</i>, so are thought to have an adaptation to intermediate soil pH (6.0) somewhere between the European and American parents. Although this idea hasn't been rigorously tested for every hybrid, these guidelines seem to work reasonably well in practice.</p> <p>Application of lime should be done in the year prior to planting. Additions of large amounts of lime just before planting can induce manganese, potassium, or magnesium deficiencies in vines. Also, lime applied immediately preplant may not have time to react with soil particles.</p>				

2.3 Compaction

Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

	My Score	1	2	3	4 (Best Practice)
2.3.1 Compaction Reference Document		Soil compaction is not directly evaluated with a penetrometer.	Soil compaction is directly evaluated with a penetrometer. BUT Corrective action has yet to be taken where compaction is evident.	Soil compaction is directly evaluated with a penetrometer. AND Corrective action is taken where compaction is evident (e.g., soil ripping when the soil is dry or cover cropping with tillage radishes).	Soil compaction is directly evaluated with a penetrometer. AND Corrective action is taken where compaction is evident (e.g., soil ripping when the soil is dry or cover cropping with tillage radishes). AND Corrective action is taken if soils have hard pans or impermeable platy layers (e.g. subsoiling prior to planting).
Comments (Optional):					
2.3.2 Equipment Use		Pickers and tractors are often used within 24 hours after rain or when soil is saturated.	Pickers and tractors are used within 24 hours after rain or when soil is saturated. BUT Harvest decisions are inflexible due to buyer scheduling.	Pickers and tractors are rarely used within 24 hours after rain or when soil is saturated. AND Decisions to use equipment under these circumstances are weighed against potential crop losses.	Pickers and tractors are rarely used within 24 hours after rain or when soil is saturated. AND Decisions to use equipment under these circumstances are weighed against potential crop losses. AND Equipment is chosen or modified to minimize compaction (e.g. over the row equipment, wider or larger diameter tires, high flotation tires).
Comments (Optional):					
2.4 Tillth					
2.4.1 Cultivation (Row Middle)		Row middles are clean cultivated.	Row middles are cultivated and cover cropped annually.	Row middles are cultivated and cover cropped on occasion to regrade or rework plant mix.	Row middles are not cultivated.

Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

	My Score	1	2	3	4 (Best Practice)
		Comments (Optional):			
2.4.2 Cultivation (Undervine)		Five or more cultivation passes are made annually.	Four or fewer cultivation passes are made annually.	Cultivation is only done for hilling and dehillng purposes.	Undervine strip is not cultivated.
		Comments (Optional):			
More on Cultivation		Cultivation, whether in the row middle or under the trellis, can have negative consequences particularly if done in excess. It renders soils more prone to erosion, destroys soil organic matter and can alter the quantity and diversity of soil microbial populations. Under Long Island conditions, row middle tillage may negate the benefits of a row middle cover crop (no net increase in organic matter). However, row middle tillage can and should be done to periodically renovate row middles (reduces weed populations such as dandelions) and as a vine management tool in dry years (reduces competition for water).			
2.4.3 Cover Crop (Row Middle) NRCS Code 340 Cover Crop Decision Tool		Cover crops are not seeded or established in row middles.	Annual cover crops are seeded in row middles following cultivation.	Annual cover crops are seeded in row middles following cultivation with a no-till drill. AND Cover is established in row middles most of the year.	Perennial cover crops are established in row middles.
		Comments (Optional):			
2.4.5 Cover Crop (Undervine) NRCS Code 340 Cover Crop Decision Tool		A bare herbicide strip is maintained undervine all year.	Herbicide or cultivation is used undervine, but plants are allowed to regrow midseason.	Undervine cover is established for more than half of the year.	Undervine cover is established all year.
		Comments (Optional):			
2.5 Amendments					

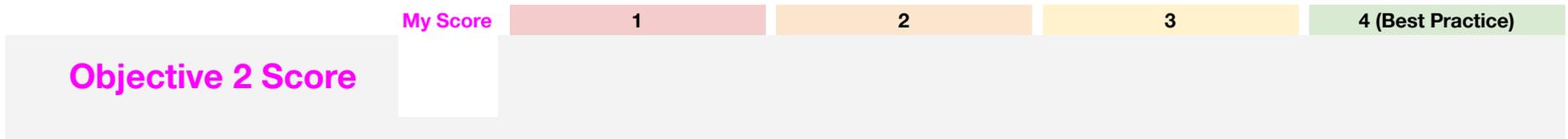
Objective 2. Soil Health

Healthy soil is the foundation of a healthy vineyard. It is important to keep soils under vegetation throughout the year to reduce erosion, improve infiltration, sequester carbon, and foster a diverse soil microbiome to maximize nutrient uptake in the vine.

	My Score	1	2	3	4 (Best Practice)
2.5.1 Organic Matter		<p>No organic matter is added to the vineyard where needed.</p> <p>AND</p> <p>Healthy pruning wood is removed from vineyard.</p>	<p>No organic matter is added to the vineyard where needed.</p> <p>BUT</p> <p>Healthy pruning wood is chopped and remains in vineyard.</p>	<p>Organic matter (e.g. compost, hay, mulch, composted pomace, mulch, cover crop residue, etc.) is applied where needed at the appropriate rate to avoid excess nutrient leaching.</p> <p>BUT</p> <p>Organic matter is not analyzed.</p> <p>AND</p> <p>Healthy pruning wood is chopped and remains in vineyard.</p>	<p>Organic matter (e.g. compost, hay, mulch, composted pomace, mulch, cover crop residue, etc.) is applied where needed at the appropriate rate to avoid excess nutrient leaching.</p> <p>AND</p> <p>Organic matter is analyzed for nutritional composition as well as contaminants.</p> <p>AND</p> <p>Healthy pruning wood is chopped and remains in vineyard.</p>
<p>Comments (Optional):</p>					
<p>More on Compost</p>		<p>It is most practical to apply compost to a swath under the trellis rather than a broadcast application. Reasons include limited availability of high quality compost, the fact that large quantities are needed, and the expense involved. Dr. Ian Merwin, of Cornell University's Department of Horticulture, has documented that compost application increases soil microbial activity (CO₂ evolution), CEC (Cation Exchange Capacity), and available P, Ca, and K. Compost application can also result in shifts in microbial community structure.</p> <p>Chopping the prunings may aid movement through the vineyard rows. On rare occasions, removal of vine prunings is warranted to reduce fungal disease inoculum.</p>			
2.5.2 Pomace		<p>Pomace is sent to a landfill.</p>	<p>Pomace is returned in the vineyard fresh and uncomposted.</p>	<p>Pomace is composted off-site and returned to the vineyard as mature compost.</p> <p>OR</p> <p>Pomace is composted off-site and applied off-site (as in the case of a vineyard without a winery).</p>	<p>Pomace is composted on-site, away from adjacent waterways, and returned to the vineyard.</p>
<p>Comments (Optional):</p>					
<p>More on Pomace</p>		<p>Recycling of organic matter back into the vineyard is important to maintain soil organic matter and soil biodiversity. Pomace can be combined with a carbon source – leaves, for example – to create a more nutritionally balanced product that enhances the soil over and above the addition of fresh pomace. Though difficult to totally eliminate, even through proper composting, grapevine seedlings will proliferate from the spreading of fresh pomace. These seedlings are often infected by downy mildew, but are generally controlled through standard floor management practices.</p>			

Objective 2. Soil Health

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Objective 3. Water Protection

New York State has a wealth of freshwater resources including lakes, rivers, streams, ponds, and aquifers. Protective measures and sustainable irrigation practices ensure quality drinking water for all residents. The incorporation of vegetative buffers adjacent to waterways, wetlands, and riparian zones, is also essential to conserving aquatic habitat for all organisms.

		My Score	1	2	3	4 (Best Practice)
3.1 Buffer Zones						
3.1.2 Filter Strips <small>NRCS Code 393</small>			Sediment directly enters a watercourse. OR No filter strips are in place.	Filter strips are present along some vineyard borders.	Vegetative buffers are 20 ft wide for suspended solids or 35 ft wide for dissolved contaminants and meet NRCS Code 393 . AND Filter strips are present along most vineyard borders.	All vegetative buffers are over 35 ft wide and meet NRCS Code 393 . AND Filter strips surround all water courses and vineyard borders.
	Comments (Optional):					
3.1.2 Riparian Buffers <small>Over 150 feet recommended</small>			Waterways are not protected by buffer strips.	Waterways are protected by non-vegetative buffer strips.	Waterways are protected by vegetative buffer strips.	Native vegetation adjacent to waterways, including trees and shrubs, shades part or all of the watercourse. OR Farm does not adjoin riparian habitat.
	Comments (Optional):					
3.1.3 Wetland Buffers <small>Over 150 feet recommended</small>			Wetlands are not protected by buffer strips.	Wetlands and vernal pools are protected by non-vegetative buffer strips.	Wetlands and vernal pools are protected by vegetative buffer strips.	Native vegetation surrounds and buffers wetlands and vernal pools. OR Farm does not adjoin wetlands and vernal pools.
	Comments (Optional):					
3.2 Water Sources						

Objective 3. Water Protection

New York State has a wealth of freshwater resources including lakes, rivers, streams, ponds, and aquifers. Protective measures and sustainable irrigation practices ensure quality drinking water for all residents. The incorporation of vegetative buffers adjacent to waterways, wetlands, and riparian zones, is also essential to conserving aquatic habitat for all organisms.

	My Score	1	2	3	4 (Best Practice)
3.2.1 Pesticide Application		<p>Spray tanks are filled directly from a well, pond, creek, or public water source.</p> <p>AND</p> <p>A Reduced Pressure Zone (RPZ) device or suitable air gap is not in place.</p>	<p>Spray tanks are filled directly from a well, pond, creek, or public water source.</p> <p>AND</p> <p>A RPZ device or an air gap equal to twice the diameter of the filler source pipe above the sprayer tank is in place to prevent backflow.</p>	<p>Spray tank are filled from a nurse tank at least 100 ft from open water.</p> <p>AND</p> <p>Water is obtained from a well, pond, creek, or public water source.</p> <p>AND</p> <p>A RPZ device or air gap equal to twice the diameter of the filler source pipe above the sprayer tank is in place to prevent backflow.</p>	<p>Spray tank are filled from a nurse tank at least 100 ft from open water.</p> <p>AND</p> <p>Water is obtained from a rainwater collection system.</p> <p>AND</p> <p>A RPZ device or air gap equal to twice the diameter of the filler source pipe above the sprayer tank is in place to prevent backflow.</p>
Comments (Optional):					
3.2.2 Irrigation Source		<p>Irrigation water is obtained from non-sustainable, protected, or illegal sources.</p>	<p>Where greywater is used, water quality must comply with the WHO Guidelines on Safe Use of Wastewater and Excreta in Agriculture and Aquaculture.</p>	<p>Irrigation water is obtained from sustainable sources, (i.e. sources that supply enough water under normal conditions).</p>	<p>Irrigation water is obtained from a rainwater collection system.</p> <p>OR</p> <p>Irrigation is not used.</p>
Comments (Optional):					
More on Water Sources	<p>Regulations concerning use of surface water (ponds) for filling sprayers vary. Long Island vineyards exclusively use wells or municipal water supplies. In other areas, growers commonly use water pumped from ponds, particularly where wells or municipal water supply are not available. When ponds are used as a source, the filling area should be below the grade of the pond, and at least 100 feet away from surface water. Nurse tanks are recommended, because they reduce the amount of time it takes to fill spray tanks. An acceptable Reduced Pressure Zone (RPZ) device contains a minimum of two independently acting check valves with an automatically operated pressure differential relief valve between the two check valves.</p>				
3.2.3 Spray Applications		<p>Spray is applied adjacent to or over top of open water.</p>	<p>Spray is applied less than 35 ft from an open water source.</p>	<p>Spray is applied at least 35 ft from open water source.</p>	<p>Spray is applied at least 50 ft from open water source (e.g. ponds, lakes, streams, etc.).</p>
Comments (Optional):					
3.3 Irrigation					
GROWER GUIDE: Irrigation		Growers should answer a 4 for questions 3.3.1 to 3.3.7 if established vineyard blocks are not irrigated (exception for newly planted vines).			

Objective 3. Water Protection

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	My Score	1	2	3	4 (Best Practice)
3.3.1 Off-Site Water Movement		<p>Irrigation practices result in runoff.</p> <p>AND</p> <p>Runoff and erosion occur during regular rainfall events.</p>	<p>Irrigation practices result in no runoff.</p> <p>BUT</p> <p>Runoff and erosion occur during regular rainfall events.</p>	<p>Irrigation practices result in no runoff.</p> <p>AND</p> <p>Conservation practices prevent runoff and erosion during regular rainfall events.</p>	<p>Established vineyard blocks are not irrigated.</p>
Comments (Optional):					
3.3.2 Irrigation System		<p>A low volume system is not used.</p>		<p>A low volume system such as drip is installed.</p>	<p>Established vineyard blocks are not irrigated.</p>
Comments (Optional):					
3.3.3 Distribution Uniformity How to Measure		<p>Distribution uniformity is never checked.</p>	<p>Distribution uniformity is tested intermittently by measuring emitter outflows and pressure differential in each zone.</p>	<p>System is checked at the beginning of each growing season by measuring emitter outflows and pressure differential in each zone.</p>	<p>Established vineyard blocks are not irrigated.</p>
Comments (Optional):					
3.3.4 System Maintenance Drip Irrigation Checklist		<p>Water filters are never inspected or cleaned, and irrigation lines are never flushed.</p>	<p>Water filters are not regularly inspected or cleaned, and and irrigation lines are not regularly flushed at the beginning of the irrigation season each year.</p>	<p>Water filters are inspected and cleaned whenever pressure differences indicate, and irrigation lines are flushed at the beginning of the irrigation season each year.</p>	<p>Established vineyard blocks are not irrigated.</p>
Comments (Optional):					
3.3.5 Flow Meter		<p>Flow meter is not installed.</p>	<p>Flow meter is installed but not regularly used to monitor the system.</p>	<p>Flow meter is installed and used to monitor application rates throughout the season.</p>	<p>Established vineyard blocks are not irrigated.</p>

Objective 3. Water Protection

New York State has a wealth of freshwater resources including lakes, rivers, streams, ponds, and aquifers. Protective measures and sustainable irrigation practices ensure quality drinking water for all residents. The incorporation of vegetative buffers adjacent to waterways, wetlands, and riparian zones, is also essential to conserving aquatic habitat for all organisms.

		My Score			
		1	2	3	4 (Best Practice)
		Comments (Optional):			
3.3.6 Soil Moisture Monitoring		An irrigation schedule is maintained regardless of soil moisture or weather conditions.	Soil moisture monitoring is not done. BUT Weather data is recorded and seasonal rainfall amounts are considered when deciding when and how much to irrigate.	Soil moisture monitoring is done by bucket auger (judging by feel) or soil moisture monitoring devices (e. g., neutron gauge, tensiometer or gypsum blocks) are installed and used to track soil moisture depletion. AND Weather data is recorded and seasonal rainfall amounts are considered when deciding when and how much to irrigate.	Established vineyard blocks are not irrigated.
		Comments (Optional):			
More on Moisture Monitoring	Tensiometers reveal soil moisture potential in a specific area. They read changes in soil moisture by measuring the vacuum created by water movement through a ceramic tip. This mimics how soil moisture moves into the root zone of a plant. Tensiometers can help determine when to irrigate but not how much water should be applied. Begin irrigation when the tensiometer reads between 30 and 40 centibars. Observe the response on the tensiometer after irrigating. If it shows that the soil is wet (a gauge reading of 0-10), the system is working well. Operation times can be adjusted based upon the response of the tensiometer. There are a number of other methods for measuring soil moisture such as neutron probes and gypsum blocks. Alternatively, a more accurate method may be to measure vine water potential using pressure bombs.				
3.3.7 Determining Schedule USDA Web Soil Survey		Irrigation is applied systematically without regard to weather conditions or the water holding capacity of the soil.	Irrigation is applied systematically with regard to weather conditions only.	Irrigation is applied according to the water holding capacity of the soil and rooting depth, soil moisture measurement, vine demand and weather conditions.	Established vineyard blocks are not irrigated.
		Comments (Optional):			
3.4 Engagement					

Objective 4. Energy Conservation

Reducing carbon emissions is a state, federal, and personal goal for many Americans. From enhanced fuel efficiency to renewable energy generation, there are many ways for growers to reduce their carbon footprint.

	My Score	1	2	3	4 (Best Practice)
4.1 Fuel and Emissions					
4.1.1 Benchmarking Greenhouse Gas Protocol		Neither on-farm fuel nor electricity use are tracked. AND No plan is in place to reduce usage.	On-farm diesel use is tracked. OR On-farm electricity use is tracked. AND No plan is in place to reduce usage.	On-farm diesel use is tracked. OR On-farm electricity use is tracked. AND A plan is in place to reduce usage.	On-farm diesel use is tracked. AND On-farm electricity use is tracked. AND Farm energy use has been reduced year-over-year.
Comments (Optional):					
4.1.2 Alternative Energy			Grower uses or sources only fossil energy sources.	Grower uses 1 renewable energy source (e.g. solar, wind, hydro, tidal, geothermal, etc.)	Grower uses at least 2 renewable energy sources (e.g. solar, wind, hydro, tidal, geothermal, etc.)
Comments (Optional):					
4.1.3 Energy Efficiency			The grower does not treat multiple rows or multitask to conserve fuel.	Grower treats more than one row at a time or combines two or more tasks into a single tractor pass once per year to conserve fuel.	Grower treats more than one row at a time or combines two or more tasks into a single tractor pass twice per year to conserve fuel. OR Most tasks are completed by hand.
Comments (Optional):					
Objective 4 Score					

Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.

		1 (High Risk)	2	3	4 (Low Risk)
My Score					
5.1 Chemical Storage					
5.1.1 Shelving		Shelving is permeable (e.g. bare wood). AND Heavy containers are on the highest shelves.	Shelving is permeable (e.g. bare wood). BUT Heavy containers are on the lowest shelves.	Shelving is wood covered with epoxy paint or plastic sheet. AND Heavy containers are on lowest shelves.	Shelving is metal or plastic. AND Heavy containers are on lowest shelves. AND Powders are stored on upper shelves and liquids on lowest shelves.
Comments (Optional):					
5.1.2 Flooring		The floor is permeable (e.g. gravel, dirt, or wood).	The floor is impermeable. BUT A spill kit is not readily available.	The floor is impermeable. AND A readily available spill kit contains some of the following: <ul style="list-style-type: none"> • PPE • Shovel • Broom/dustpan • Absorbent material • Heavy-duty detergent • Sturdy plastic container • Emergency telephone numbers 	The floor is impermeable. AND A readily available spill kit contains all of the following: <ul style="list-style-type: none"> • PPE • Shovel • Broom/dustpan • Absorbent material • Heavy-duty detergent • Sturdy plastic container • Emergency telephone numbers
Comments (Optional):					
5.1.3 Security		Area is exposed to activities that could damage containers, spill chemicals, or allow entry of unwanted persons.	Area is self-contained, locked, and tamper-proof.	Area is self-contained, locked, and tamper-proof. AND Posted with appropriate signage.	Area is self-contained, locked, and tamper-proof. AND Posted with appropriate signage. AND Used only for pesticides.

Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.

		My Score			
		1 (High Risk)	2	3	4 (Low Risk)
		Comments (Optional):			
5.1.4 Container Condition		<p>Pesticides/fertilizers are not in their original containers.</p> <p>AND</p> <p>Pesticides/fertilizers have unreadable or missing labels.</p>	<p>Pesticides/fertilizers are in their original containers.</p> <p>BUT</p> <p>Pesticides/fertilizers have unreadable or missing labels.</p>	<p>Pesticides/fertilizers are in their original containers.</p> <p>AND</p> <p>Pesticides/fertilizers are clearly labeled.</p>	<p>Pesticides/fertilizers are in their original containers.</p> <p>AND</p> <p>Pesticides/fertilizers are clearly labeled.</p> <p>AND</p> <p>Containers have no weak seams, rust, holes, tears, or missing lids that allow chemicals to leak.</p>
		Comments (Optional):			
5.1.5 Container Disposal		<p>Empty containers are not rinsed.</p> <p>AND</p> <p>Unrinsed containers are stored, disposed, or burned on the farm.</p>	<p>Empty containers are not rinsed.</p> <p>AND</p> <p>Unrinsed containers are sent to the landfill.</p>	<p>Empty containers are rinsed.</p> <p>AND</p> <p>Rinsed containers are sent to the landfill.</p>	<p>Empty containers are triple-rinsed.</p> <p>AND</p> <p>Triple-rinsed containers are sent to the landfill.</p> <p>OR</p> <p>Triple-rinsed containers are returned to the supplier for recycling (where indicated).</p>
		Comments (Optional):			

Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.

	My Score	1 (High Risk)	2	3	4 (Low Risk)
5.1.6 Unwanted Pesticides DEC Clean Sweep New York		<p>Unused or banned pesticides are disposed of on-farm or at the landfill.</p> <p>OR</p> <p>Unused or banned pesticides are stored along with usable pesticides indefinitely.</p>	<p>Unused or banned pesticides are stored on-farm separate from usable pesticides.</p> <p>AND</p> <p>Unused or banned pesticides are stored in a container that will contain spills.</p> <p>AND</p> <p>Unused or banned pesticides are clearly labeled.</p>	<p>Unused or banned pesticides are disposed of through a hazardous waste collection service or returned to the supplier.</p>	<p>All pesticides are used.</p>
Comments (Optional):					
5.1.7 Fertilizer Storage NRCS Code 590		<p>Storage building is <100 ft from the nearest surface water, well, or ecologically sensitive area.</p>	<p>Storage building is 100 ft from the nearest surface water, well, or ecologically sensitive area.</p> <p>BUT</p> <p>Storage building is not curbed with a concrete pad.</p>	<p>Storage building is 100-200 ft from the nearest surface water, well, or ecologically sensitive area.</p> <p>AND</p> <p>Storage building is curbed with a concrete pad designed to contain 125% of the volume of the stored products.</p>	<p>Fertilizer is used as it is purchased, and there is no on-farm fertilizer storage.</p>
Comments (Optional):					
5.2 Disposal of Other Waste					
5.2.1 Recycling		<p>Grower does not recycle any recyclable materials.</p>	<p>Grower recycles some, but not all, recyclable materials.</p>	<p>Grower recycles metal, paper, cardboard, glass, and plastic in designated recycling containers.</p>	<p>Grower recycles metal, paper, cardboard, glass, and plastic in designated recycling containers.</p> <p>AND</p> <p>New employees are trained on recycling procedures.</p>
Comments (Optional):					

Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.

		1 (High Risk)	2	3	4 (Low Risk)
5.3 Loading and Mixing					
5.3.1 Mixing/Loading NRCS Code 702		Mixing/loading is within 100 ft of a well, surface water, or watercourse.	Mixing/loading is at least 100 ft from a well, surface water, or watercourse. BUT Mixing/loading is upslope from a well, surface water, or watercourse.	Mixing/loading area is done in an approved agrochemical mixing facility.	Mixing/loading area is done in an approved agrochemical mixing facility. AND Mixing/loading is downslope from a well, surface water, or watercourse.
Comments (Optional):					
5.3.2 Station Type		There is no mixing/loading pad. AND All mixing/loading is done in the field at the same location every time.	There is no mixing/loading pad. AND All mixing/loading is done in the field at a different location every time.	Most mixing/loading is done on an impermeable pad. BUT Occasional mixing/loading is done in the field at a different location every time.	All mixing/loading is done on an impermeable pad with a curb that keeps spills contained and holds 125% of maximum chemical volume. AND Sumps allow spill collection and transfer to storage or back into sprayer for field application. AND The facility meets or exceeds current standards for an approved agrichemical mixing facility.
Comments (Optional):					
5.3.3 Spills		No spill kit is available. OR Spills are dealt with after major time has elapsed or not at all.	Spill kit is not readily accessible at the mixing/loading facility. OR Spill kit contents are missing or depleted.	Spill kit is readily accessible at the mixing/loading facility. AND Spill kit is fully stocked.	A spill kit is readily accessible at the mixing/loading facility. AND Spill kit is fully stocked. AND Spills are cleaned up immediately

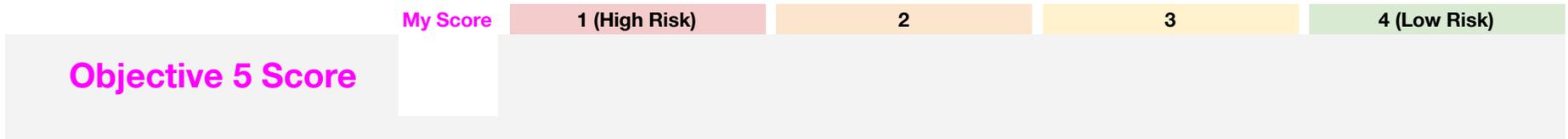
Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.

		My Score			
		1 (High Risk)	2	3	4 (Low Risk)
		Comments (Optional):			
More on Spill Kits		A spill kit should contain personal protection equipment (PPE), shovel, broom, dustpan, absorbent material, heavy-duty detergent, a sturdy plastic container and emergency telephone numbers.			
5.3.4 Filling		Supervision is provided seldom or never.	Supervision is provided most of the time.	A certified applicator has provided appropriate training for mixers and loaders and is available for consultation as needed.	A certified applicator does the mixing and loading. OR A certified applicator provides constant supervision.
		Comments (Optional):			
5.3.5 Rinsate		Sprayer is not washed on a pad. AND Rinsate is dumped in-field adjacent to streams/waterways or along a fence/hedgerow.	Sprayer is not washed on a pad. AND Rinsate is applied to labeled crops.	Sprayer is washed on a pad. AND Rinsate is applied to labeled crops.	An in-field cleaning system is used. AND Rinsate is applied to labeled crops.
		Comments (Optional):			
5.3.6 Inspections		Plumbing and well connections are never inspected. OR No emergency plan or phone numbers are in place.	Plumbing and well connections are inspected only when there are breaks and leaks. AND Emergency plan and telephone numbers known but not posted.	Plumbing and well connections are inspected occasionally. AND Emergency plan and telephone numbers are centrally posted. AND Equipment for fire or spills is in place.	Plumbing and well connections are inspected routinely. AND Emergency plan and telephone numbers are centrally posted. AND Equipment for fire or spills is in place and inspected annually.
		Comments (Optional):			

Objective 5. Natural Resource Conservation and Waste Streams

It is essential to reduce waste streams and resource utilization through safe handling, storage, and disposal. Proper waste stream management helps farms to prevent pollution and save costs.



Objective 6. Ecosystem Health

Sustainable farming is a holistic approach that acknowledges the interconnection between agriculture and natural ecosystems. Encouraging a healthy ecosystem in and around the vineyard is essential to soil health, biodiversity, and pollinator habitat.

My Score		1	2	3	4 (Best Practice)
6.1 Biodiversity					
6.1.1 Ecological Areas Reference Document		The entire farm is clean cultivated year-round and there are no ecological areas.	There are no permanent ecological areas. OR Temporary ecological areas between rows are mowed down before 50% flowering.	Permanent ecological areas total 5% of the farm acreage. OR Temporary ecological areas between rows are mowed down after 100% flowering.	Permanent ecologic areas total more than 5% of the farm acreage. AND Temporary ecological areas between rows are mowed down after 100% flowering.
Comments (Optional):					
6.1.2 Soil Macro/Microorganisms Definition		Grower uses none of the following practices to enhance soil life: <ul style="list-style-type: none"> • Apply organic matter • Minimize undervine tillage • Minimize row middle tillage • Minimize use of copper fungicide • Minimize use of preemergence herbicides • Minimize use of postemergence herbicides • Maximize diversity of cover crops 	Grower uses one of the following practices to enhance soil life: <ul style="list-style-type: none"> • Apply organic matter • Minimize undervine tillage • Minimize row middle tillage • Minimize use of copper fungicide • Minimize use of preemergence herbicides • Minimize use of postemergence herbicides • Maximize diversity of cover crops 	Grower uses two of the following practices to enhance soil life: <ul style="list-style-type: none"> • Apply organic matter • Minimize undervine tillage • Minimize row middle tillage • Minimize use of copper fungicide • Minimize use of preemergence herbicides • Minimize use of postemergence herbicides • Maximize diversity of cover crops 	Grower uses three or more of the following practices to enhance soil life: <ul style="list-style-type: none"> • Apply organic matter • Minimize undervine tillage • Minimize row middle tillage • Minimize use of copper fungicide • Minimize use of preemergence herbicides • Minimize use of postemergence herbicides • Maximize diversity of cover crops
Comments (Optional):					
6.1.3 Mycorrhizae HRAC Lookup Definition		Grower uses none of the following practices to encourage arbuscular mycorrhizal fungi development: <ul style="list-style-type: none"> • Avoiding fumigation • Avoiding over fertilization • Avoiding clean cultivation • Avoiding Group 9 herbicide • Inoculating mycorrhizal fungi • Avoiding bare undervine strip • Planting leguminous cover crops 	Grower uses 1 of the following practices to encourage arbuscular mycorrhizal fungi development: <ul style="list-style-type: none"> • Avoiding fumigation • Avoiding over fertilization • Avoiding clean cultivation • Avoiding Group 9 herbicide • Inoculating mycorrhizal fungi • Avoiding bare undervine strip • Planting leguminous cover crops 	Grower uses 2 of the following practices to encourage arbuscular mycorrhizal fungi development: <ul style="list-style-type: none"> • Avoiding fumigation • Avoiding over fertilization • Avoiding clean cultivation • Avoiding Group 9 herbicide • Inoculating mycorrhizal fungi • Avoiding bare undervine strip • Planting leguminous cover crops 	Grower uses 3 of the following practices to encourage arbuscular mycorrhizal fungi development: <ul style="list-style-type: none"> • Avoiding fumigation • Avoiding over fertilization • Avoiding clean cultivation • Avoiding Group 9 herbicide • Inoculating mycorrhizal fungi • Avoiding bare undervine strip • Planting leguminous cover crops

Objective 6. Ecosystem Health

Sustainable farming is a holistic approach that acknowledges the interconnection between agriculture and natural ecosystems. Encouraging a healthy ecosystem in and around the vineyard is essential to soil health, biodiversity, and pollinator habitat.

	My Score	1	2	3	4 (Best Practice)
		Comments (Optional):			
6.1.4 Wildlife Corridors		The farm is fully fenced and wildlife has no passage through.	The farm is fully fenced but has unintentional wildlife corridors outside the fence.	The farm is not fully fenced and wildlife has passage through.	The farm and/or fence is designed with dedicated corridors to allow for free passage of wildlife.
		Comments (Optional):			
6.1.5 Enhancements		Grower has implemented no practices to preserve or enhance biodiversity on the farm: <ul style="list-style-type: none"> • Bird nesting boxes • At least 15 sq ft of beneficial plant species • Ecological infrastructure outside the crop area • Minimum of ten non-noxious plant species in row middles 	Grower has implemented 1 practice to preserve or enhance biodiversity on the farm: <ul style="list-style-type: none"> • Bird nesting boxes • At least 15 sq ft of beneficial plant species • Ecological infrastructure outside the crop area • Minimum of ten non-noxious plant species in row middles 	Grower has implemented at least 2 practices to preserve or enhance biodiversity on the farm: <ul style="list-style-type: none"> • Bird nesting boxes • At least 15 sq ft of beneficial plant species • Ecological infrastructure outside the crop area • Minimum of ten non-noxious plant species in row middles 	Grower has implemented at least 3 practices to preserve or enhance biodiversity on the farm: <ul style="list-style-type: none"> • Bird nesting boxes • At least 15 sq ft of beneficial plant species • Ecological infrastructure outside the crop area • Minimum of ten non-noxious plant species in row middles
		Comments (Optional):			
6.2 Pollinator Protection					
6.2.1 Pollinator Habitat			The grower provides no pollinator habitat.	The grower provides pollinator habitat during part of the season.	The grower provides and protects permanent pollinator habitat.
		Comments (Optional):			
6.2.2 Bee Nesting			The grower does not provide or identify native bee nests.	The grower identifies and does not disturb native bee nests.	The grower provides and protects permanent native bee nests.
		Comments (Optional):			

Objective 6. Ecosystem Health

Sustainable farming is a holistic approach that acknowledges the interconnection between agriculture and natural ecosystems. Encouraging a healthy ecosystem in and around the vineyard is essential to soil health, biodiversity, and pollinator habitat.

	My Score	1	2	3	4 (Best Practice)
6.2.3 Neonicotinoids		Neonicotinoids have been applied in the last two years while flowers were in bloom and/or bees were present.	Neonicotinoids have been applied in the last two years while no flowers were in bloom and no bees were present.	Neonicotinoids have not been applied in the last two years.	Neonicotinoids (e.g. clothianidin, thiamethoxam, dinotefuran and imidacloprid) have not applied in the last two years. AND Seeds treated with neonicotinoids have not been planted in the last two years.
Comments (Optional):					
6.3 Woodlands					
6.3.1 Buffers		There are no buffers between the farm and the adjacent woodland.	A non-vegetative buffer protects adjacent woodlands. OR No woodlands are adjacent and the farm does not use bird boxes to enhance avian habitat and promote rodent predation.	A vegetative buffer protects adjacent woodlands. OR No woodlands are adjacent and the farm does use bird boxes to enhance avian habitat and promote rodent predation.	A vegetative buffer protects adjacent woodlands. AND The farm supplements woodland habitat with bird boxes to enhance avian habitat and promote rodent predation.
Comments (Optional):					
6.3.3 Dead/Dying Trees			All dead or dying trees are removed for aesthetic reasons.	Some dead or dying trees are removed for aesthetic reasons.	All dead or dying trees remain, leaving habitat intact, unless they are a source for pests/disease or create a dangerous situation.
Comments (Optional):					
6.4 Headlands					
6.4.1 Buffers		There is no vegetation on any headlands or along roadsides.		Headlands and roadsides have some vegetation.	Headlands and roadsides are planted with native vegetation.

Objective 6. Ecosystem Health

Sustainable farming is a holistic approach that acknowledges the interconnection between agriculture and natural ecosystems. Encouraging a healthy ecosystem in and around the vineyard is essential to soil health, biodiversity, and pollinator habitat.

My Score

1

2

3

4 (Best Practice)

Comments (Optional):

Objective 6 Score

Objective 7. Climate Resiliency

Climate resiliency involves a set of practices and capacities that are known to help withstand climate change at the farm level through carbon sequestration, adaptation, and risk mitigation. It is important for growers to understand the science of climate change and build resiliency measures into their environmental, social, and economic management strategies.

	My Score	1	2	3	4 (Best Practice)
7.1 Regenerative Practices					
7.1.1 Land Management <small>Project Drawdown Regenerative Organic Certification</small>			<p>Grower implements none of the following practices:</p> <ul style="list-style-type: none"> • Low or no-till • Reforestation • Managed grazing • Conservation cover • Multi-story cropping • Dynamic crop rotation • Planting for biodiversity • Planting for forage and biomass 	<p>Grower implements 1 of the following practices:</p> <ul style="list-style-type: none"> • Low or no-till • Reforestation • Managed grazing • Conservation cover • Multi-story cropping • Dynamic crop rotation • Planting for biodiversity • Planting for forage and biomass 	<p>Grower implements at least 2 of the following practices:</p> <ul style="list-style-type: none"> • Low or no-till • Reforestation • Managed grazing • Conservation cover • Multi-story cropping • Dynamic crop rotation • Planting for biodiversity • Planting for forage and biomass
Comments (Optional):					
7.1.2 Vineyard Applications			<p>Grower applies none of the following as needed:</p> <ul style="list-style-type: none"> • Compost • Manure • Biochar • Mulch 	<p>Grower applies 1 of the following as needed:</p> <ul style="list-style-type: none"> • Compost • Manure • Biochar • Mulch 	<p>Grower applies at least 2 of the following as needed:</p> <ul style="list-style-type: none"> • Compost • Manure • Biochar • Mulch
Comments (Optional):					
7.1.3 Soil Organic Matter (SOM)		<p>Soil testing is not done to assess Soil Organic Matter (SOM).</p>	<p>Soil testing is done to assess Soil Organic Matter (SOM).</p> <p>BUT</p> <p>Soil Organic Matter (SOM) is not considered when making nutrient and/or land management decisions.</p>	<p>Soil testing is done to assess Soil Organic Matter (SOM).</p> <p>AND</p> <p>Soil Organic Matter (SOM) is considered when making nutrient and/or land management decisions.</p>	<p>Soil testing is done to assess Soil Organic Matter (SOM).</p> <p>AND</p> <p>Soil Organic Matter (SOM) is considered when making nutrient and/or land management decisions.</p> <p>AND</p> <p>Grower has a plan to increase Soil Organic Matter (SOM) or has as a level of 2-3%.</p>

Objective 7. Climate Resiliency

Climate resiliency involves a set of practices and capacities that are known to help withstand climate change at the farm level through carbon sequestration, adaptation, and risk mitigation. It is important for growers to understand the science of climate change and build resiliency measures into their environmental, social, and economic management strategies.

		My Score			
		1	2	3	4 (Best Practice)
		Comments (Optional):			
7.2 Climate Risk					
7.2.1 Climate Exposure Cornell Climate Smart Farming		Grower does not know the changing climate patterns in the region.	Grower knows one of the following changing climate patterns in the region: <ul style="list-style-type: none"> • Temperature • Precipitation • Drought potential • Growing season length 	Grower knows two of the following changing climate patterns in the region: <ul style="list-style-type: none"> • Temperature • Precipitation • Drought potential • Growing season length 	Grower knows three or more of the following changing climate patterns in the region: <ul style="list-style-type: none"> • Temperature • Precipitation • Drought potential • Growing season length
		Comments (Optional):			
7.2.2 Climate Sensitivity		Grower does not know the effects of climate change on their farm system.	Grower knows one of the following effects of climate change on their farm system: <ul style="list-style-type: none"> • Phenology • CO2 levels • Temperature • Soil moisture • Pests and diseases • Pest management strategies 	Grower knows two of the following effects of climate change on their farm system: <ul style="list-style-type: none"> • Phenology • CO2 levels • Temperature • Soil moisture • Pests and diseases • Pest management strategies 	Grower knows three or more of the following effects of climate change on their farm system: <ul style="list-style-type: none"> • Phenology • CO2 levels • Temperature • Soil moisture • Pests and diseases • Pest management strategies
		Comments (Optional):			
7.3 Resilience Capacities					

Objective 7. Climate Resiliency

Climate resiliency involves a set of practices and capacities that are known to help withstand climate change at the farm level through carbon sequestration, adaptation, and risk mitigation. It is important for growers to understand the science of climate change and build resiliency measures into their environmental, social, and economic management strategies.

	My Score	1	2	3	4 (Best Practice)
7.3.1 Response		<p>Grower implements none of the following practices:</p> <ul style="list-style-type: none"> • Reduced tillage • Dynamic crop rotation • Ecosystem restoration • Integration of livestock • On-farm waste recycling • Diversification of crop species • Climate-appropriate cover crop • Diversified marketing strategies 	<p>Grower implements one of the following practices:</p> <ul style="list-style-type: none"> • Reduced tillage • Dynamic crop rotation • Ecosystem restoration • Integration of livestock • On-farm waste recycling • Diversification of crop species • Climate-appropriate cover crop • Diversified marketing strategies 	<p>Grower implements two of the following practices:</p> <ul style="list-style-type: none"> • Reduced tillage • Dynamic crop rotation • Ecosystem restoration • Integration of livestock • On-farm waste recycling • Diversification of crop species • Climate-appropriate cover crop • Diversified marketing strategies 	<p>Grower implements three or more of the following practices:</p> <ul style="list-style-type: none"> • Reduced tillage • Dynamic crop rotation • Ecosystem restoration • Integration of livestock • On-farm waste recycling • Diversification of crop species • Climate-appropriate cover crop • Diversified marketing strategies
<p>Comments (Optional):</p>					
7.3.2 Recovery		<p>Grower lacks abundance of all the following:</p> <ul style="list-style-type: none"> • Savings • Insurance • Experience • Access to capital • Public assistance • Alternative energy • Community support • Knowledge and skills 	<p>Grower has an abundance of 1 of the following:</p> <ul style="list-style-type: none"> • Savings • Insurance • Experience • Access to capital • Public assistance • Alternative energy • Community support • Knowledge and skills 	<p>Grower has an abundance of 2 of the following:</p> <ul style="list-style-type: none"> • Savings • Insurance • Experience • Access to capital • Public assistance • Alternative energy • Community support • Knowledge and skills 	<p>Grower has an abundance of at least 3 of the following:</p> <ul style="list-style-type: none"> • Savings • Insurance • Experience • Access to capital • Public assistance • Alternative energy • Community support • Knowledge and skills
<p>Comments (Optional):</p>					
7.3.3 Transformation			<p>Grower is not prepared to pivot farm operations if necessary or working toward this goal.</p>	<p>Grower is not prepared to pivot farm operations if necessary but is working toward this goal.</p>	<p>Grower is prepared to pivot farm operations if necessary (e.g. by diversifying crops, planting new varieties, integrating livestock, etc.)</p>
<p>Comments (Optional):</p>					
Objective 7 Score					

Objective 8. Education and Continuous Improvement

Continuous improvement is an critical concept of sustainability that can be achieved through grower education, goal-setting, and cooperation. Together we can learn to grow, adapt, and improve our industry-wide social impact, climate resiliency, and reputation.

	My Score	1	2	3	4 (Best Practice)
8.1 Education					
8.1.1 Publications		Grower does not subscribe to any industry newsletters, email lists, or trade publications.	Grower subscribes to one industry newsletter, email list, or trade publication.	Grower subscribes to two industry newsletters, email lists, or trade publications.	Grower subscribes to three or more industry newsletters, email lists, or trade publications.
Comments (Optional):					
8.1.2 Grower Meetings		Grower does not attend any grower meetings.	Grower attends one grower meeting per year.	Grower attends two grower meetings per year.	Grower attends three or more grower meetings per year.
Comments (Optional):					
8.1.3 University Extension		Grower does not follow any Integrated Pest Management (IPM), Worker Protection Standard (WPS), and pesticide compliance updates from university extension.	Grower rarely follows Integrated Pest Management (IPM), Worker Protection Standard (WPS), and pesticide compliance updates from university extension.	Grower often follows Integrated Pest Management (IPM), Worker Protection Standard (WPS), and pesticide compliance updates from university extension.	Grower always follows Integrated Pest Management (IPM), Worker Protection Standard (WPS), and pesticide compliance updates from university extension.
Comments (Optional):					
8.1.4 Trade Organizations		Grower is not a member of any trade organizations.	Grower is a member of one of the following trade organizations: <ul style="list-style-type: none"> • LISW • NYWGF • NYSWGG • Wine trail • NYWPI • NYFB 	Grower is a member of two of the following trade organizations: <ul style="list-style-type: none"> • LISW • NYWGF • NYSWGG • Wine trail • NYWPI • NYFB 	Grower is a member of three or more of the following trade organizations: <ul style="list-style-type: none"> • LISW • NYWGF • NYSWGG • Wine trail • NYWPI • NYFB
Comments (Optional):					
8.2 Continuous Improvement					

Objective 8. Education and Continuous Improvement

Continuous improvement is an critical concept of sustainability that can be achieved through grower education, goal-setting, and cooperation. Together we can learn to grow, adapt, and improve our industry-wide social impact, climate resiliency, and reputation.

	My Score	1	2	3	4 (Best Practice)
8.2.1 Projects		Grower has no continuous improvement projects to be completed within the next year.	Grower has 1 continuous improvement project to be completed within the next year.	Grower has 2 continuous improvement projects to be completed within the next year.	Grower has at least 3 continuous improvement projects to be completed within the next year.
Comments (Optional):					
8.2.2 VineBalance		Grower has achieved several low scores on this workbook and has no plans to address them.	Grower has achieved one or two low scores on this workbook and has no plans to address them.	Grower has used this workbook to develop a general plan to increase the total score.	Grower has used this workbook to develop a written plan with goals, benchmarks, and timelines to increase the total score.
Comments (Optional):					
Objective 8 Score					

Objective 9. Social Equity

Social equity addresses the “people” component of the so-called Three P’s of Sustainability: people, planet, and prosperity. This can be achieved through thoughtful human resource management, employee benefits, and worker health and safety measures.

	My Score	1	2	3	4 (Best Practice)
9.1 Worker Health					
9.1.1 Responsible Party		No manager is identified as the person responsible for worker health and safety.	A manager is vaguely identified as the person responsible for worker health and safety through the chain of command.	A manager is clearly identified as the person responsible for worker health and safety through a written policy.	A manager is clearly identified as the person responsible for worker health and safety through a written policy. AND This policy is included in worker protection training.
Comments (Optional):					
9.1.3 Worker Protection Training OSHA Workers' Rights		Workplace safety, equipment, and pesticide handling/exposure training is never done.	Workplace safety, equipment, and pesticide handling/exposure training is done as needed .	Workplace safety, equipment, and pesticide handling/exposure training is done annually .	Workplace safety, equipment, and pesticide handling/exposure training is done annually . AND Records of training are kept for 2 years from the date of training.
Comments (Optional):					
9.1.4 Hygiene		Handwashing and restroom facilities are not made available.	Handwashing and restroom facilities are made available. BUT Facilities are not within 1/4 of the worksite.	Handwashing and restroom facilities are made available. AND Facilities are within 1/4 of the worksite.	Handwashing and restroom facilities are made available. AND Facilities are within 1/4 of the worksite. AND Facilities are maintained regularly.
Comments (Optional):					

Objective 9. Social Equity

Social equity addresses the “people” component of the so-called Three P’s of Sustainability: people, planet, and prosperity. This can be achieved through thoughtful human resource management, employee benefits, and worker health and safety measures.

	My Score	1	2	3	4 (Best Practice)
9.1.5 Drinking Water		Workforce is not given adequate drinking water or breaks during hot weather.	Workforce knows where to find adequate drinking water and is allowed to get it as needed.	Workforce is provided with adequate drinking water. AND Workforce is encouraged to take extra breaks during hot weather.	Workforce is provided with adequate drinking water. AND Workforce is required to take extra breaks during hot weather.
Comments (Optional):					
9.2 Worker Safety					
9.2.1 First Aid Kits		First aid kits are not available.	First aid kits are available. BUT First aid kits are not fully stocked. OR First aid kits are not readily accessible.	First aid kits are available. AND First aid kits are fully stocked. OR First aid kits are not readily accessible.	First aid kits are available. AND First aid kits are fully stocked. AND First aid kits are readily accessible.
Comments (Optional):					
9.2.2 Written Procedures		There are no accident or emergency plans.	Accident and emergency plans are communicated verbally.	Written accident and emergency procedures clearly identify emergency contacts.	Written accident and emergency procedures clearly identify emergency contacts. AND Written accident and emergency procedures are accessible within 30 feet of the pesticide storage facilities and all mixing areas.
Comments (Optional):					

Objective 9. Social Equity

Social equity addresses the “people” component of the so-called Three P’s of Sustainability: people, planet, and prosperity. This can be achieved through thoughtful human resource management, employee benefits, and worker health and safety measures.

	My Score	1	2	3	4 (Best Practice)
9.2.3 PPE		Workforce is not offered personal protective equipment (PPE).	Workforce is not offered some personal protective equipment (PPE), but not all needs are met.	Workforce is not offered all necessary personal protective equipment (PPE).	Workforce is not offered all necessary personal protective equipment (PPE). AND Pesticide applicators using a respirator are fit tested annually.
Comments (Optional):					
9.2.4 Applicators		Pesticide applicators are not certified by NYS or supervised by a certified applicator. AND Pesticide applicators are allowed to apply restricted use pesticides.	Pesticide applicators are not certified by NYS but are given instruction by a certified applicator. AND Pesticide applicators do not apply restricted use pesticides.	Pesticide applicators are not certified by NYS but are directly supervised by a certified applicator. AND Pesticide applicators are trained as a handler by a certified applicator. AND Pesticide applicators do not apply restricted use pesticides.	All pesticide applicators are certified by NYS.
Comments (Optional):					
9.2.5 Hazard Signage		No hazard signage is in place.	Some hazard signage is in place.	All hazard signage is in place. BUT Some signage is faded or illegible.	All permanent and legible hazard signage is in place.
Comments (Optional):					
9.3 Rights and Benefits					

Objective 9. Social Equity

Social equity addresses the “people” component of the so-called Three P’s of Sustainability: people, planet, and prosperity. This can be achieved through thoughtful human resource management, employee benefits, and worker health and safety measures.

	My Score	1	2	3	4 (Best Practice)
9.3.1 Child Labor		Certification will be permanently denied If illegal child labor is used.			<p>Illegal child labor is not used and workers under 18 do not:</p> <ul style="list-style-type: none"> • Exceed daily hour maximum • Handle hazardous chemicals • Work while school is in session • Risk physical/mental well-being <p>AND</p> <p>The grower posts conditions and restrictions for employment of child labor.</p>
Comments (Optional):					
9.3.2 Forced Labor		Certification will be permanently denied If forced labor is used.			Forced labor is not used.
Comments (Optional):					
9.3.3 Base Compensation		Certification will be permanently denied if wages paid are below minimum or wage.	<p>Wages paid for regular working hours meet minimum wage.</p> <p>OR</p> <p>Hourly equivalent for piecework is equal or greater than minimum wage.</p>	Wages paid for regular working hours exceed minimum wage.	<p>A living wage is paid based on local cost of living. Growers can find the living wage for Yates County and Suffolk County using MIT's Living Wage Calculator.</p> <p>AND</p> <p>Agricultural exempt employees are paid overtime wages.</p>
Comments (Optional):					

Objective 9. Social Equity

Social equity addresses the “people” component of the so-called Three P’s of Sustainability: people, planet, and prosperity. This can be achieved through thoughtful human resource management, employee benefits, and worker health and safety measures.

	My Score	1	2	3	4 (Best Practice)
9.3.4 Benefits		<p>Certification will be permanently denied if benefits offered are below legal minimum.</p>	<p>Full-time vineyard staff are offered 1 of the following (including where normally exempt):</p> <ul style="list-style-type: none"> • Housing • Childcare • Healthcare • Transportation • Additional paid time off • Paid maternity/paternity leave 	<p>Full-time vineyard staff are offered 2 of the following (including where normally exempt):</p> <ul style="list-style-type: none"> • Housing • Childcare • Healthcare • Transportation • Additional paid time off • Paid maternity/paternity leave 	<p>Full-time vineyard staff are offered 3 of the following (including where normally exempt):</p> <ul style="list-style-type: none"> • Housing • Childcare • Healthcare • Transportation • Additional paid time off • Paid maternity/paternity leave <p>AND</p> <p>The grower offers professional development opportunities (e.g. paid continuing education).</p>
Comments (Optional):					
9.3.5 Well-being		<p>Labor and management never meet.</p>	<p>Labor and management meet once before the start of the season.</p>	<p>Labor and management meet regularly to discuss matters of health, safety, and well-being.</p>	<p>Labor and management meet regularly to discuss matters of health, safety, and well-being.</p> <p>AND</p> <p>Worker well-being is documented, and corresponding workplace improvements are made.</p>
Comments (Optional):					
Objective 9 Score					

Appendix A: Crop Management Guidelines

Yield Adjustment

Yield should be adjusted for the following:

Variety

Vine size

Vine health

Historical yield/quality data

Labrusca and bulk hybrids: yield is determined by crop estimation at 30 days post-bloom. Crop reduction takes place at that time if necessary. For every 3 days the bloom date is earlier or later than the long-term average, an additional ton of fruit can be ripened (when it's early) or must be removed (when it's late).

Vinifera and premium hybrids: Yields are adjusted according to the parameters above. In general, due to cooler eastern climate, lower yields are necessary to ripen late-maturing varieties such as Cabernet Sauvignon.

Crop Thinning

Labrusca:

- Thinning is done between 30 days postbloom and veraison.
- If done mechanically, there is minimal leaf removal and damage to berries and shoots.
- Crop is adjusted to ensure ripening to processor quality standards.

Vinifera:

- Thinning is done soon after fruit set. Prebloom cluster thinning is avoided except where improvements in berry set are desired.
- When thinning takes place, diseased or damaged clusters are first removed, overlapping clusters are thinned to facilitate airflow and drying, and clusters on short shoots are thinned or removed totally.
- A target number of clusters per vine is determined based on estimated cluster weight. The number is adjusted up or down depending on vine size.

Yield Estimation**Labrusca:**

Yield estimation is based on crop estimation practices done 30 days postbloom.

Vinifera: In the Finger Lakes, long-term records are used in conjunction with average cluster weights taken at 1200 growing degree days (50°F base). At that point, clusters should weigh approximately half of their final weight. This method is somewhat less reliable on Long Island due to heavy cluster thinning and the use of irrigation.

Vigor

To increase vine size: leave fewer buds at pruning, increase nitrogen fertilization, reduce crop level, till row middle cover in spring, and/or increase irrigation.

To decrease vine size: leave more buds at pruning, reduce nitrogen fertilization, delay cluster thinning until veraison, establish permanent cover in row middles, and/or decrease irrigation.

Appendix B: Pest and Disease Management

Trunk Diseases

[Grapevine trunk diseases: The fungi that cause them, how they develop and spread, and how they are managed, by Jose Ramon](#)

[Update on Trunk Injury and Disease Surveys in Minnesota and New York, by Tim Martinson](#)

Eutypa dieback: vines are double pruned and/or cut well below the canker. Vines are flagged during the growing season for future observation. Dead wood and prunings are removed each year and disposed of by burying or burning.

According to Dr. Wayne Wilcox (Dept. of Plant Pathology, NYSAES, Geneva), Eutypa canker has long been known as a cause of declining grapevines. More recently, vine decline has been recognized as a disease complex associated with a number of potential trunk-infecting fungi. Eutypa and some other fungi typically infect through pruning wounds, and then cause cankers that slowly expand down and around the infected arm, cordon or trunk. A cross-section through such cankers typically reveals a distinctive wedge-shaped zone of dead wood radiating from the center of the cylinder. Another group of vine-decline fungi do not cause such cankers. Rather, cross sections through trunks of symptomatic vines often display black spotting or gumming whereas longitudinal sections reveal black streaks through the water-conducting vessels of the wood. Current research suggests that decline symptoms from these infections are unlikely to occur unless the vines are subjected to stress. Therefore, viticultural practices designed to minimize vine stress should help to prevent/minimize the occurrence of such forms of vine decline. These practices would include timely irrigation, balanced nutrition, minimized trunk injury from machine implements and so on.

Crown gall: Vines, or portions of vines, rendered unproductive by crown gall are either removed or a new trunk is trained up. Preparations designed to rid the vine of crown gall should not be used, as efficacy has been poor in both research and grower trials.

According to Dr. Tom Burr (Dept. of Plant Pathology, NYSAES, Geneva) scion and rootstocks differ in their susceptibility to crown gall. In addition, the younger the vine is at infection, the greater the impact on the vine. Crown gall compromises the wound healing process by preventing normal differentiation of cells that are generated in the cambial zone following wounding.

Fungal Diseases

Botrytis cinerea:

- Conscientious canopy management is done; especially leaf pull to improve light, air and spray penetration into the cluster zone.
- Cluster thinning is done in such a way that clumps of overlapping clusters are loosened/thinned.
- Susceptible vinifera varieties are treated, particularly during bloom.
- Sprays are directed at the cluster zone; GPA of water and the need for a surfactant follow pesticide label recommendations.
- N fertilizers applied so that vine growth is balanced.

Major Insect Pests

European Red Mite
Potato Leafhopper
Japanese Beetle
Grape Berry Moth
Grape Leafhopper
Rose Chafer

Minor Insect Pests

Cutworms
Flea Beetle
Thrips
Aphids
Girdlers
Gallmakers
Scale
Grape Plume Moth
Grape Cane Borer
Banded Grape Bug
Grape Rootworm

Mites

European Red Mite
Two Spotted Spider Mite

A note on dormant miticide sprays: Dormant oils, when applied properly, can provide some control of overwintering European Red Mites (ERM) in tree fruit, particularly apples. High water gallonage (200-300 gal/acre) and rates based on time of year/stage of growth are used. In apples, mites become progressively more susceptible to control with dormant oil as spring arrives.

Horticultural oil research has been conducted statewide. Sprays were applied at multiple timings with a backpack sprayer. Treatments were unsuccessful in controlling subsequent mite populations. Grower experience with airblast sprayers has been similarly disappointing. The location of mites in cracks and crevices and under bark makes control more difficult than in tree fruit. For areas with potentially high overwintering ERM populations, an efficient sprayer that achieves excellent coverage would be the best choice for dormant oil application. Coverage must be sufficient to penetrate areas where overwintering mites reside. Be sure to use an oil product labeled for dormant use in vineyards.

IPM for insect/mite pests can be found in the latest version of the *New York and Pennsylvania Pest Management Guidelines for Grapes*, available for purchase here:

<https://cropandpestguides.cce.cornell.edu/>.

Scores

Objective 1	of 224
Objective 2	of 56
Objective 3	of 52
Objective 4	of 12
Objective 5	of 60
Objective 6	of 44
Objective 7	of 36
Objective 8	of 24
Objective 9	of 56

Total Score

423 To Pass

NO PASS

I certify that my workbook is complete:

Action Plans

Action plans are an important aspect of the New York Sustainable Winegrowing Program as a metric to ensure continuous improvement amongst certified growers. Sustainability is a journey not a destination. As such even a score of 4 on a Vine Balance item does not preclude the ability for improvement on that item. When determining what items to include in your action plan prioritize areas with scores of 1 and 2. Items with a score of 1 must be improved to a 2 prior to the second in person inspection if a vineyard wishes to maintain certification. Feasibility should be the next criteria to consider. Not all aspects of a vineyard can be changed, and not all changes are economically viable. Finally consider items that have the largest impact on the environment and the community. Once items of priority are determined devise a plan of improvement as well as a timeline for implementation and results. Ensure that your plan of action suits you and your vineyard. What works for one vineyard might not work for another. Once you have completed you action plan be sure to keep a copy to refer to and gauge improvement as well as share with your vineyard auditor.

Action Plans				
Objective	Item ID #	Score and or area of concern	Plan of Action	Timeline

Definitions

arbuscular mycorrhizal fungi: a soil fungal network that uses hyphae as the site of nutrient exchange with plants called an arbuscule. AMF help plants to capture nutrients such as phosphorus, sulfur, nitrogen and micronutrients from the soil.

biomass: energy generated from the burning or processing of carbon rich feedstocks such as agricultural waste, waste from mills, or sustainably grown perennial plants that are then replenished after burned. Biomass energy is a true solution only if it uses Using annual grain crops like corn and sorghum depletes groundwater and requires high inputs of energy. Using native forests as feedstock, especially from the Global South, is not sustainable.

conservation cover: establishing and maintaining perennial vegetative cover to protect soil and water resources on land retired from agricultural production or other lands needing permanent protective cover that will not be used for forage production

ecological [area/infrastructure/compensation area]: interchangeable terms that refer to areas on the farm that are used to preserve or increase its biodiversity and ecological function. As a reference, the following areas are recognized for direct payments to growers in Switzerland: low intensity grassland; litter meadows; conservation headland; wildflower strips; rotational fallows; hedges; woodland patches; hedges; high-stem fruit trees. Other areas could include: low intensity pasture; silvopasture; large single trees or tree alleys; ditches and ponds; stone heaps or walls; unpaved farm trails

headland: the area at the end of a vineyard row

hydro: hydroelectric power; energy generated from the harnessing of moving water via a turbine, either on a large utility-level or small farm-level scale

luxury consumption: the absorption and accumulation of nutrients by a plant far in excess of its actual immediate needs, sometimes due to over-fertilization

macroorganisms: small insects and arthropods visible to the naked eye; groups include organisms like earthworms, millipedes, centipedes, ants, spiders, slugs, snails, termites. They perform important ecological functions such as soil bioturbation and litter removal.

microorganisms: small springtails and mites, nematodes, and protozoa, among others, that generally live in the soil-water film and feed on microflora, plant roots, other microfauna and sometimes larger organisms. These organisms help to release immobilized nutrients.

riparian: the area bordering surface watercourses such as rivers or streams

tidal: energy generated from the harnessing of rising and falling ocean tides via large turbines placed on the seafloor

variegated landscape: Landscape types include *intact* (where over 90% of the landscape is still under the original native habitat); *variegated* (where 60-90% of the native habitat remains); *fragmented* (where 10-60% of the native habitat remain); and *relict* (where less than 10% of the native habitat remains). Most agriculture falls under the fragmented and relictual categories. These definitions are based on a model of ecosystem fragmentation by Sue McIntyre and Richard Hobbs.

vernal pool: seasonal depressional wetlands

wetland: permanently or seasonally flooded ecosystem

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Other Sustainability Certification Programs

Certified California Sustainable
californiasustainablewine.com

LIVE (Oregon, Washington, Idaho)
livecertified.org

Lodi Rules (California)
lodigrowers.com

Long Island Sustainable Wine
lisustainablewine.org

Napa Green
napagreen.org

SIP (California)
sipcertified.org

Sonoma County Sustainable Winegrowing
sonomawinegrape.org/scw/sustainability/

Sustainable Winegrowing New Zealand
nzwine.com

Sustainable Wine South Africa
wosa.co.za/swsa/en/Integrity/

VIVA (Italy)
viticolturasostenibile.org/EN/Home.aspx

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Natural Resources Conservation Service Conservation Practice Standards

Code 340 Cover Crop

https://www.nrcs.usda.gov/Internet/FSE_DOCUMENTS/stelprdb1263176.pdf

Code 393 Filter Strip

<https://efotg.sc.egov.usda.gov/references/public/NY/nyps393.pdf>

Code 449 Irrigation Water Management

<https://efotg.sc.egov.usda.gov/references/public/NY/nyps449.pdf>

Code 550 Nutrient Management

https://www.nrcs.usda.gov/Internet/FSE_DOCUMENTS/nrcs144p2_027006.pdf

Code 702 Agrichemical Handling Facility

[https://efotg.sc.egov.usda.gov/references/Delete/2009-4-4/Agchem_Facility_702_\(Interim\)_6-20](https://efotg.sc.egov.usda.gov/references/Delete/2009-4-4/Agchem_Facility_702_(Interim)_6-20)